

ATTACHMENT J.9

APPENDIX I

DOE DIRECTIVES / LIST B

**Applicable to the Operation of
PPPL**

Contract No. DE-AC02-09CH11466

DOE Directive	Date	Title
<u>ES&H</u>		
O 150.1A	03/31/14	Continuity Program
O 151.1D	09/11/16	Comprehensive Emergency Management System
O 210.2A	04/08/11	DOE Corporate Operating Experience Program
O 225.1B	03/04/11	Accident Investigations
O 231.1B Chg. 1	06/27/11 11/28/12	Environment, Safety and Health Reporting <i>(10 CFR 851, DOE Worker Safety and Health Program Rule, incorporates DOE M 231.1-1A by reference and remains applicable until reference is removed from 10 CFR 851.)</i>
O 232.2A	01/17/17	Occurrence Reporting and Processing of Operations Information
O 414.1D Chg. 1	04/25/11 05/08/13	Quality Assurance
O 420.1C Chg. 1	12/04/12 02/27/15	Facility Safety
O 422.1 Chg. 1 Chg. 2	06/29/10 06/25/13 12/03/14	Conduct of Operations
O 435.1 Chg. 1	07/09/99 08/28/01	Radioactive Waste Management
O436.1	05/02/11	Department Sustainability
O 442.1A	06/06/01	Department of Energy Employee Concerns Program
O 442.2 Chg. 1	07/29/11 10/05/16	Differing Professional Opinions for Technical Issues Involving Environment, Safety, and Health
O 456.1A	07/15/16	The Safe Handling of Unbound Engineered Nanoparticles

DOE Directive	Date	Title
O 458.1	02/11/11	Radiation Protection of the Public and the Environment
Chg. 1	03/08/11	
Chg. 2	06/06/11	
Chg. 3	01/15/13	
O 460.1D	12/20/16	Hazardous Materials Packaging and Transportation Safety
O 460.2A	12/22/04	Departmental Materials and Transportation and Packaging Management
M460.2-1A	06/04/08	Radioactive Material Transportation Practices Manual
DOE Std. 1090-2011	Sep. 2011	Hoisting and Rigging Standard
<u>SAFEGUARDS AND SECURITY</u>		
O 142.2A	12/15/06	Voluntary Offer Safeguards Agreement and Additional
Chg. 1	06/27/13	Protocol with the International Atomic Energy Agency
O 142.3A	10/14/10	Unclassified Foreign Visits and Assignments Program
Chg. 1	01/18/17	
O 205.1B	05/16/11	Department of Energy Cyber Security Program
Chg. 1	12/07/12	
Chg. 2	03/11/13	
Chg. 3	04/29/14	
O 206.1	01/16/09	Department of Energy Privacy Program
O 206.2	02/19/13	Identity, Credential, and Access Management (ICAM)
O 227.1A	12/21/15	Independent Oversight Program
O 420.2C	07/21/11	Safety of Accelerator Facilities
G 420.2-1A	08/01/14	Accelerator Facility Safety Implementation Guide for DOE O 420.2C, Safety of Accelerator Facilities

DOE Directive	Date	Title
O 470.3C	11/23/16	Design Basis Threat (DBT) Order <i>Due to the lack of a CRD in this order, only the following sections are applicable to the contract: 1., 7., Appendix A paragraph 5, Appendix H, Appendix I, and Appendix J.</i>
O 470.4B	07/26/11	Safeguards and Security Program
Chg. 1	02/15/13	
Chg. 2	01/17/17	
O 470.5	06/02/14	Insider Threat Program
O 471.3	04/09/03	Identifying and Protecting Official Use Only Information
Chg. 1	01/13/11	
M 471.3-1	04/09/03	Manual for Identifying and Protecting Official Use Only Information
Chg. 1	01/13/11	
O 472.2	07/27/11	Personnel Security
Chg. 1	10/08/13	
Chg. 1	07/09/14	
O 473.3A	03/23/16	Protection Program Operations
Chg. 1	01/02/18	
O 474.2	06/27/11	Nuclear Material Control and Accountability
Chg. 1	08/03/11	
Chg. 2	11/19/12	
Chg. 3	05/15/15	
Chg. 4	09/13/16	
O 475.1	12/10/04	Counterintelligence Program
M 481.1-1A	01/03/01	Reimbursable Work for Non-Federal Sponsors Process Manual
Chg. 1	09/28/01	

FINANCIAL MANAGEMENT

O 130.1	09/29/95	Budget Formulation (extended by DOE N 251.45, 05/29/02)
O 410.2	08/17/09	Management of Nuclear Materials
Chg. 1	04/10/14	

DOE Directive	Date	Title
O 413.1B	10/28/08	Internal Control Program
O 522.1	11/03/04	Pricing of Departmental Materials & Services
O 534.1B	01/06/03	Accounting
O 544.1	10/12/04	Priorities and Allocations Program
<u>OTHER</u>		
O 200.1A Chg. 1	12/23/08 01/13/17	Information Technology Management
O 203.1	01/07/05	Limited Personal Use of Government Office Equipment Including Information Technology
O 221.1B	09/27/16	Reporting Fraud, Waste, and Abuse to the Office of Inspector General
O 221.2A	02/25/08	Cooperation with the Office of Inspector General
O 241.1B Chg. 1	12/13/10 04/26/16	Scientific and Technical Information Management
O 243.1B Chg. 1	03/11/13 07/08/13	Records Management Program
O 252.1A Chg. 1	02/23/11 03/12/13	Technical Standards Program
O 350.1 Chg. 1 Chg. 2 Chg. 3 Chg. 4 Chg. 5 Chg. 6	09/30/96 05/08/98 10/13/09 02/23/10 04/29/13 09/30/14 02/01/18	Contractor Human Resource Management Program (except as otherwise modified in Appendix A of this Contract)
O 412.1A Chg. 1	04/21/05 05/21/14	Work Authorization System

DOE Directive	Date	Title
O 413.2C	10/22/15	Laboratory Directed Research and Development
O 413.3B	11/29/10	Program and Project Management for the Acquisition of
Chg. 1	10/22/15	Capital Assets
Chg. 2	05/12/16	
Chg. 3	12/20/16	
Chg. 4	10/13/17	
O 483.1B	12/20/16	DOE Cooperative Research and Development Agreements
O 484.1	08/17/06	Reimbursable Work for the Department of Homeland Security
Chg. 1	03/14/11	
Chg. 2	06/30/14	
P 485.1	01/19/17	Foreign Engagements with DOE National Laboratories
O 551.1D	04/02/12	Official Foreign Travel
Chg. 1	08/13/15	
Chg. 2	08/09/16	
G 580.1-1A	06/09/15	Personal Property

G – Guide
 M – Manual
 N – Notice
 O – Order
 P – Policy

Note: Additional Manuals may apply.