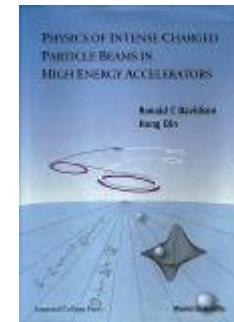


# High Intensity Beam Physics

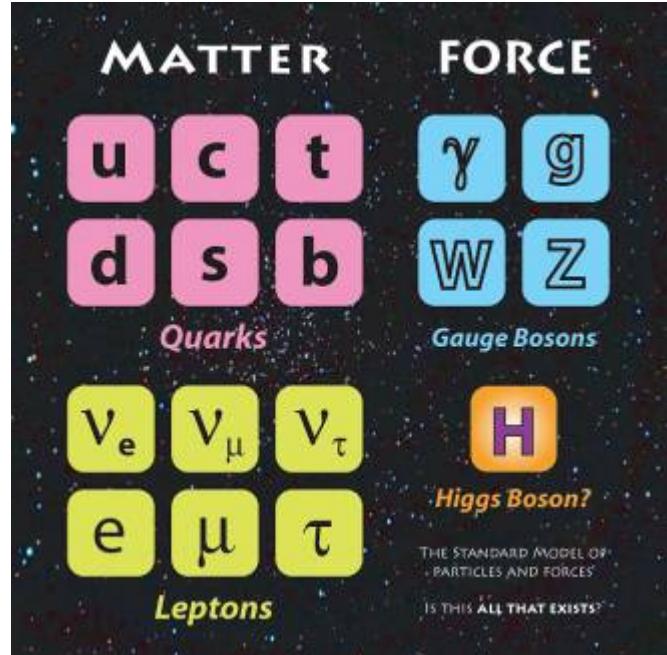
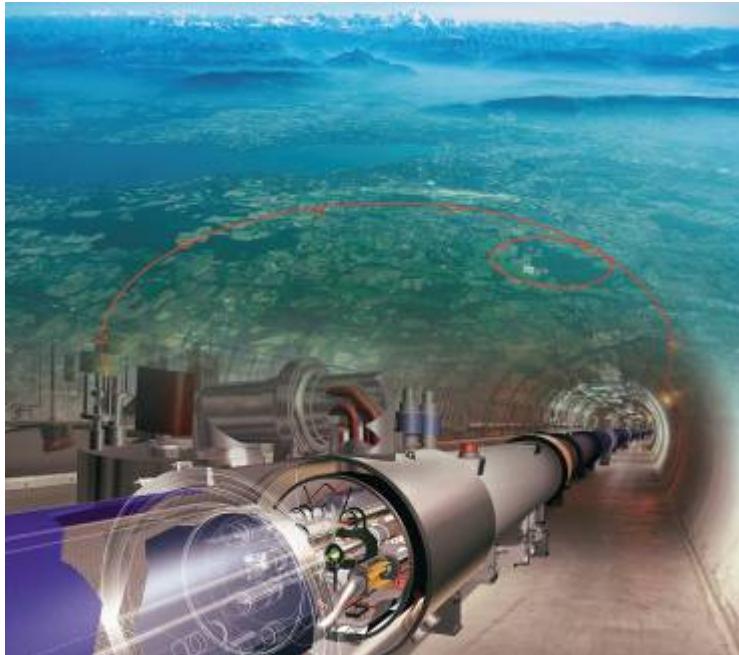
- ❖ Introduction to beam physics and accelerator physics.
- ❖ Particle and envelope dynamics.
- ❖ Vlasov-Maxwell theory for high intensity beams.
- ❖ Beam equilibrium and stability

Textbook:

*An Introduction to the Physics of Intense Charged Particle Beams in High Energy Accelerators*, R. C. Davidson and H. Qin, World Scientific (2001)



# Beam physics application --- particle physics

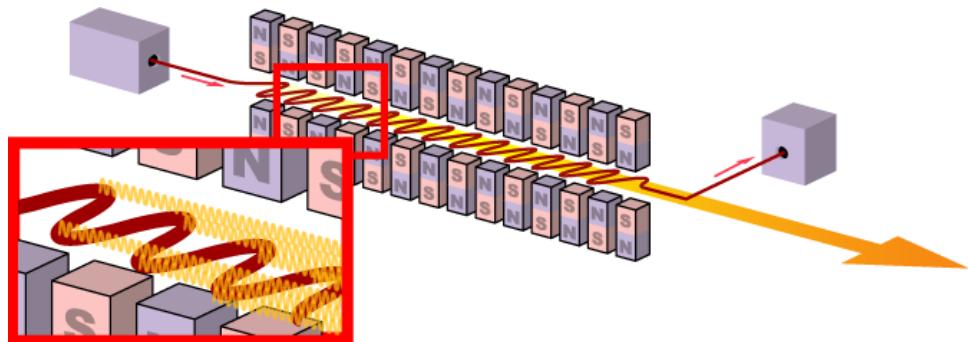


Large Hadron Collider

# Beam physics application --- modern high intensity accelerators

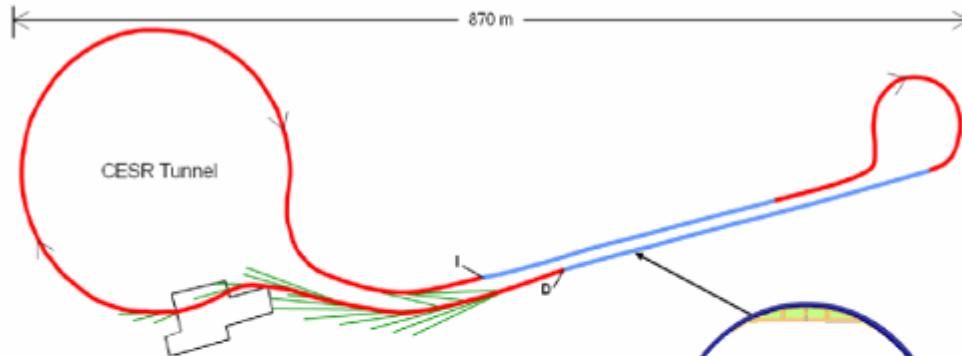
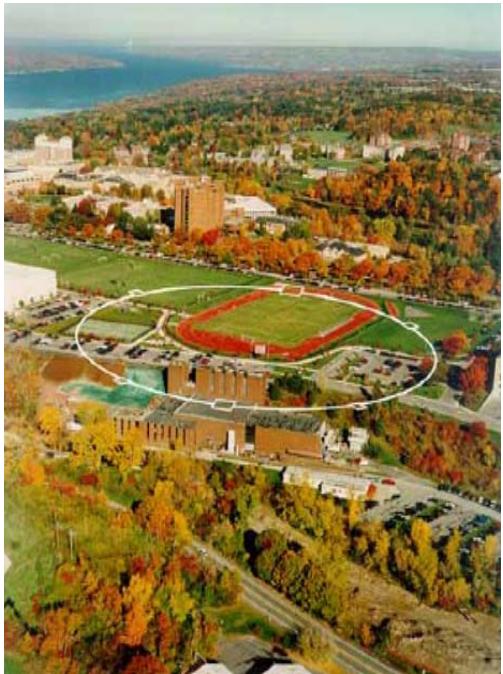


Spallation Neutron Source

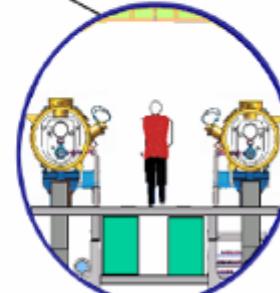


Stanford Linear Coherent Light Source 0.8 - 8 KeV

## Beam physics application --- light source



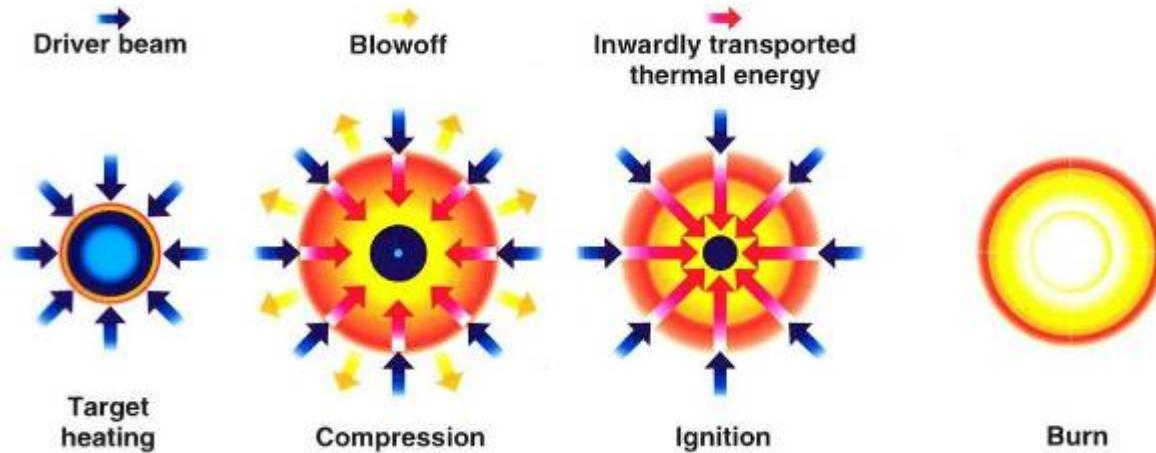
Preliminary layout view of an ERL upgrade to CHESS in the present CESR tunnel. A new tunnel with a return loop will be added to CESR. Electrons are injected into superconducting cavities at (I) and accelerated to 2.5 GeV in the first half of the main line, then to 5 GeV in the second half. The green lines show 18 possible beamline locations. Electrons travel around the CESR magnets clockwise and re-enter the line out of phase. Their energy is extracted and the spent electrons are then sent to the dump (D).



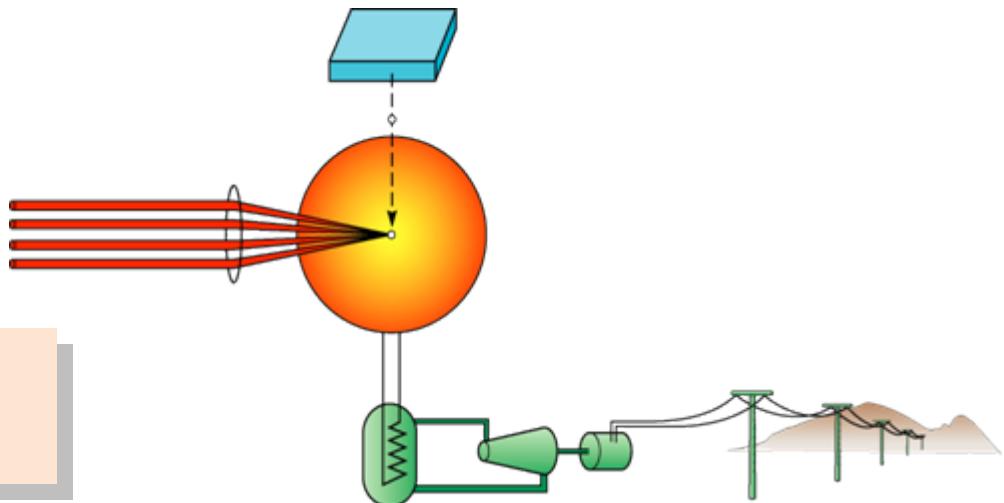
Two superconducting linacs in one tunnel accelerate the electrons to 5 GeV. Person shown for scale.

Cornell Energy Recovery Linac, 5GeV electron, 10KeV

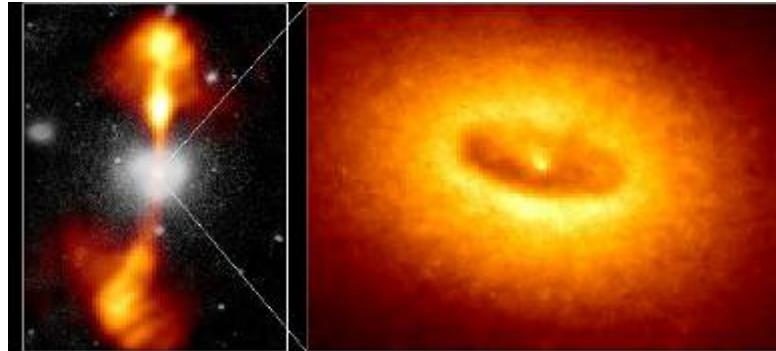
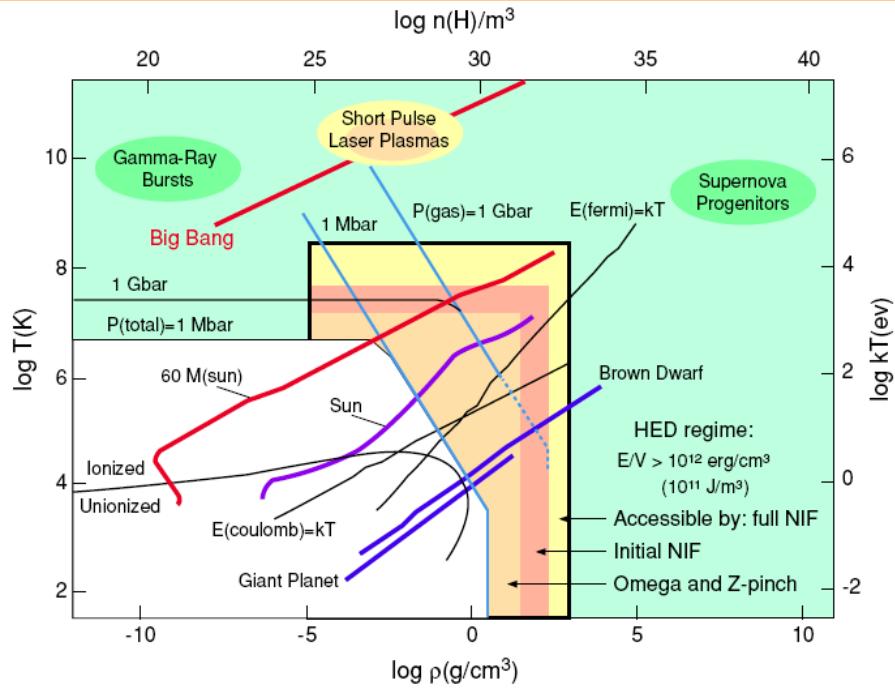
## Beam physics application --- heavy ion fusion



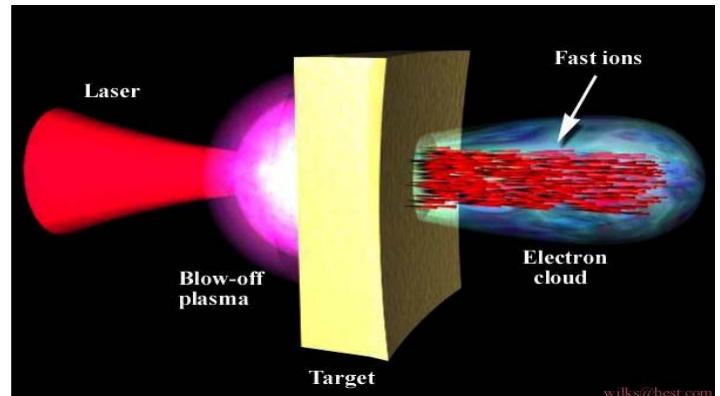
Beams strike “hohlraum,” producing x-ray bath for fusion capsules.



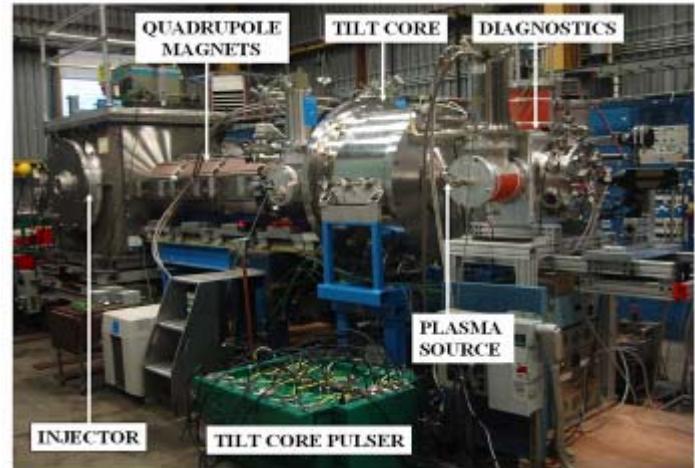
# Beam physics application --- high energy density physics



Photoionized plasmas in an accreting massive black hole



Gamma ray bursters experiment



Neutralized drift compression experiment for ion beam driven HEDP

- ❖ Accelerators

- ❖ Induction accelerators (betatron, induction linac)
- ❖ RF accelerators (cyclotron,synchrotron )
- ❖ Collider and storage rings

- ❖ Acceleration

- ❖ Stability

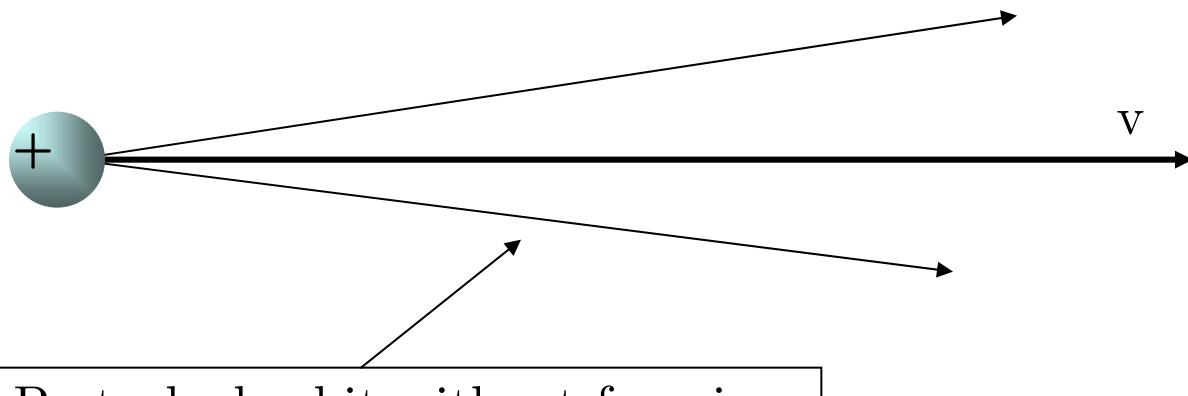
- ❖ Focusing

- ❖ Cooling

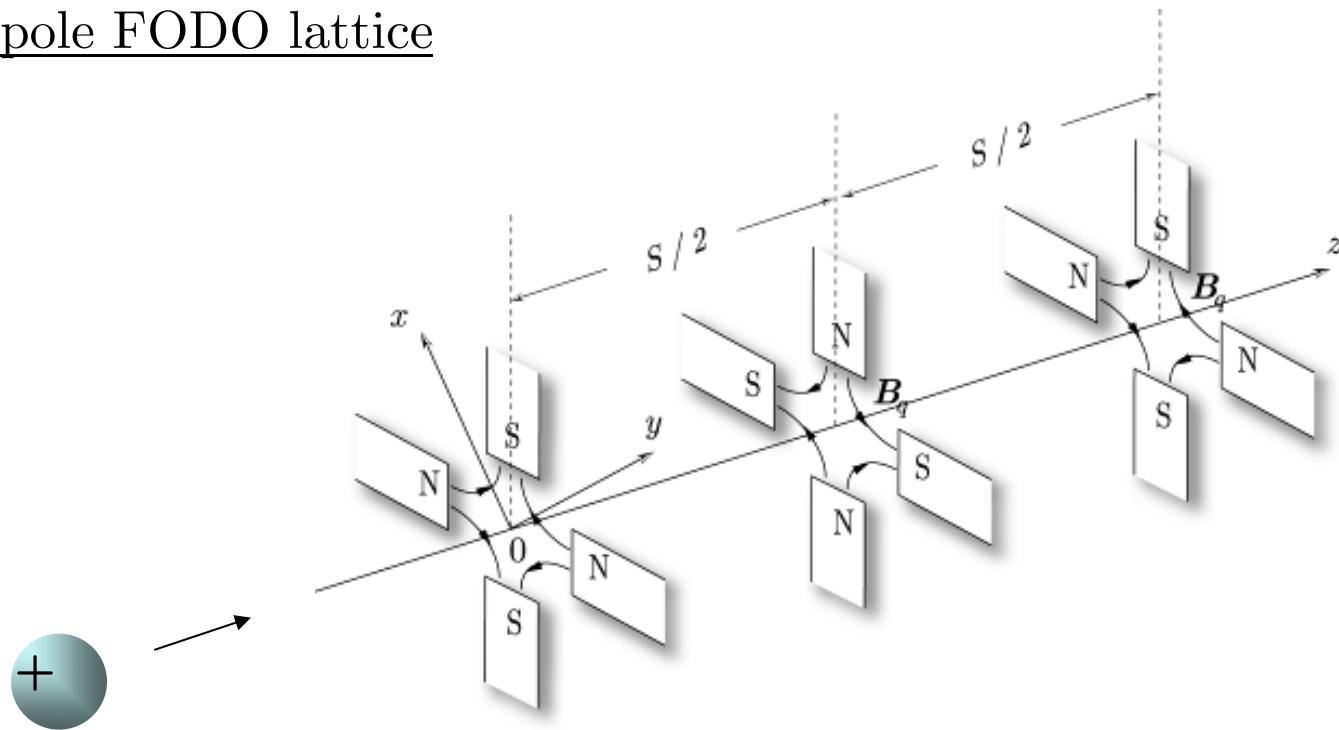
Modern accelerators are high intensity!

## Transverse dynamics of a single particle

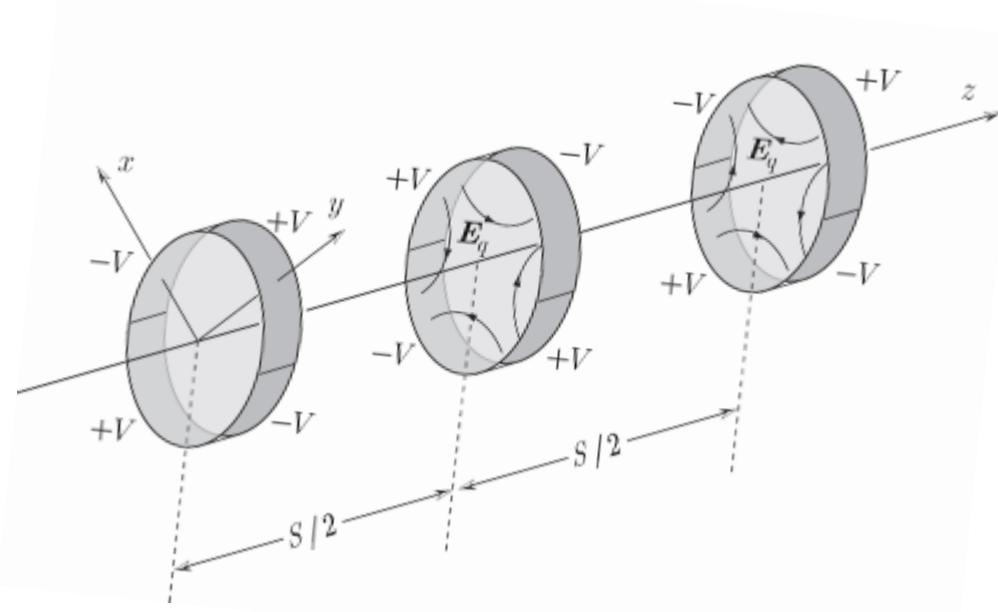
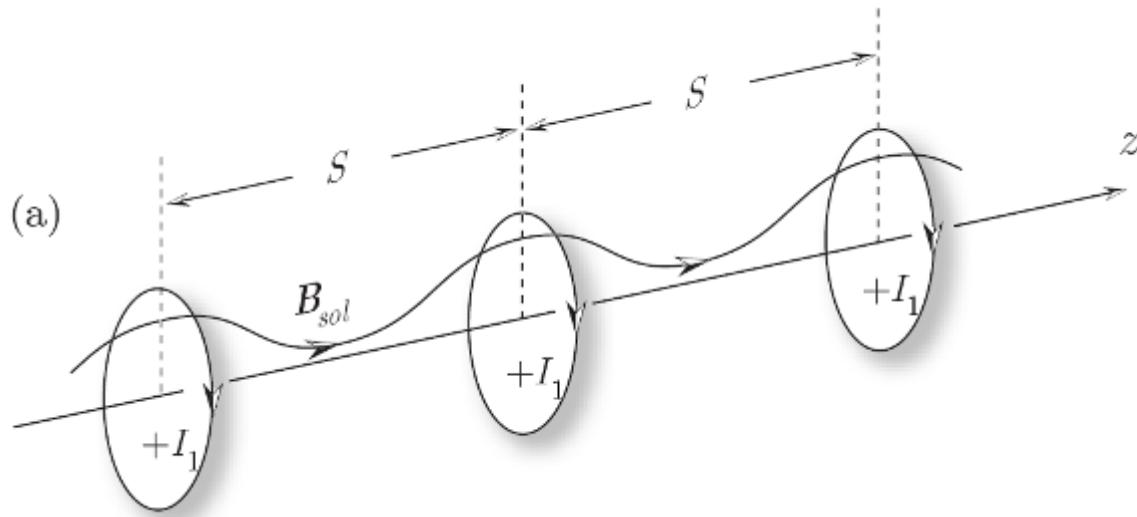
Why need transverse focusing?



## Quadrupole FODO lattice







## Relativistic Hamiltonian in EM field

$$H = (m_b^2 c^4 + \mathbf{p}^2)^{1/2} + e_b \varphi(\mathbf{x}, t)$$

$$\mathbf{p} = \gamma m \mathbf{v}$$

$$\gamma = (1 + \mathbf{p}^2 / m_b^2 c^2)^{1/2}$$

$$\frac{1}{\gamma^2} + \beta^2 = 1$$

$$\gamma^2 = 1 + \gamma^2 \beta^2$$



$$H = \sqrt{m_b^2 c^4 + c^2 \left( \mathbf{P} - \frac{e_b}{c} \mathbf{A} \right)^2} + e_b \varphi(\mathbf{x}, t)$$

$$\mathbf{P} = \mathbf{p} + \frac{e_b}{c} \mathbf{A}$$

$$\frac{d\mathbf{x}}{dt} = \frac{\partial H}{\partial \mathbf{P}}, \quad \frac{d\mathbf{P}}{dt} = -\frac{\partial H}{\partial \mathbf{x}}$$



$$\frac{d\mathbf{x}}{dt} = \frac{\mathbf{p}}{m_b \gamma}, \quad \frac{d\mathbf{p}}{dt} = e_b \left( \frac{\mathbf{v}}{c} \times \mathbf{B} + \mathbf{E} \right)$$

## Why has it to be the canonical momentum $\mathbf{P}$ ?

$$\chi = \frac{e}{c}A + p = \left(\frac{e}{c}\mathbf{A} + m\gamma\mathbf{v}\right) \cdot d\mathbf{x} - \left[\gamma mc^2 + \frac{e}{c}\phi\right]dt$$

$A \equiv (-\phi, \mathbf{A})$ , four vector potential, 1-form

$p \equiv (-\gamma mc^2, \mathbf{p})$ , 1-form momentum

$$\downarrow \boxed{\times dt^{-1}}$$

$$L = \left(\frac{e}{c}\mathbf{A} + \gamma m\mathbf{v}\right) \cdot \mathbf{v} - \left(\gamma mc^2 + \frac{e}{c}\phi\right)$$

$\gamma$  depends on  $\mathbf{v}$

$$\mathbf{P} \equiv \frac{\partial L}{\partial \mathbf{v}} = \frac{e}{c}\mathbf{A} + \gamma m\mathbf{v}$$

$$H = \mathbf{P} \cdot \mathbf{v} - L = \gamma mc^2 + \frac{e}{c}\phi$$

## Orbit Equations in the Paraxial Approximation

$$\begin{aligned} p_x^2, \ p_y^2, \ (p_z - p_b)^2 &\ll p_b^2 \\ p_b &= \gamma_b m_b \beta_b c \\ \gamma_b &= (1 + p_b^2 / m_b^2 c^2)^{1/2} \end{aligned}$$

$$\gamma m_b c^2 = [m_b^2 c^4 + c^2(p_x^2 + p_y^2) + c^2(p_b + p_z - p_b)^2]^{1/2}$$

↓  
2nd order:  $\left[ p_x^2, \ p_y^2, \ (p_z - p_b)^2 \right] / \gamma_b m_b^2 c^2$

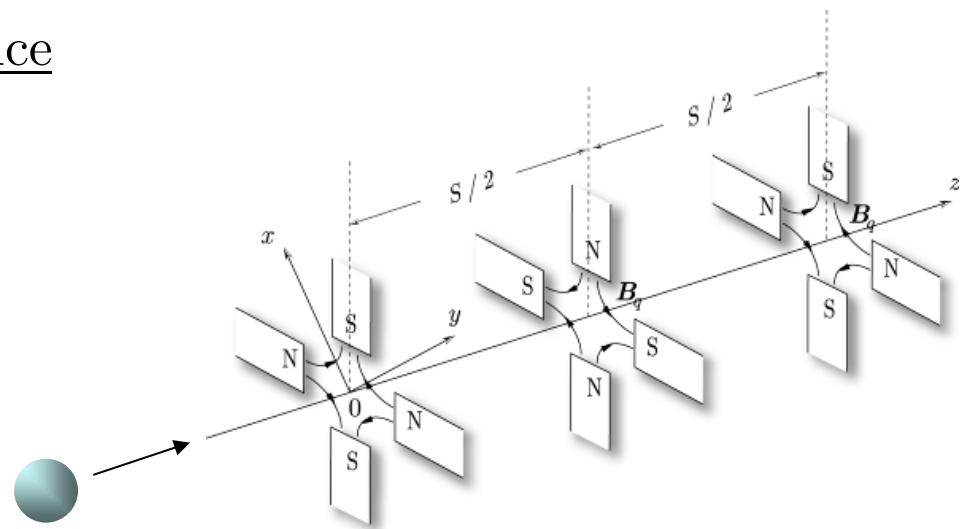
$$\begin{aligned} H = \gamma_b m_b c^2 + V_b(p_z - p_b) + \frac{1}{2\gamma_b m_b} (p_x^2 + p_y^2) \\ + \frac{1}{2\gamma_b^3 m_b} (p_z - p_b)^2 + e_b \varphi(\mathbf{x}, t) \end{aligned}$$

$$\begin{aligned} \delta p_z &= p_z - p_b \\ \delta P_z &= P_z - p_b \end{aligned}$$

$$\begin{aligned}\frac{dx}{dt} &= \frac{p_x}{\gamma_b m_b} = v_x \\ \frac{dy}{dt} &= \frac{p_y}{\gamma_b m_b} = v_y \\ \frac{dz}{dt} &= V_b + \frac{\delta p_z}{\gamma_b^3 m_b} = v_z\end{aligned}$$

$$\begin{aligned}\gamma_b m_b \frac{d^2x}{dt^2} &= e_b E_x + \frac{1}{c} (v_y B_z - v_z B_y) \\ \gamma_b m_b \frac{d^2y}{dt^2} &= e_b E_y - \frac{1}{c} (v_x B_z - v_z B_x) \\ \gamma_b^3 m_b \frac{d^2z}{dt^2} &= e_b E_z + \frac{1}{c} (v_x B_y - v_y B_x)\end{aligned}$$

## Quadrupole FODO lattice



$$\boxed{\nabla \times \mathbf{B}_q = 0, \quad \nabla \cdot \mathbf{B}_q = 0 \\ (|x|, |y| \ll S)}$$

$$\mathbf{B}_q(\mathbf{x}) = B'_q(z)(y\mathbf{e}_x + x\mathbf{e}_y)$$

$$B'_q(z) \equiv \frac{\partial B_x^q}{\partial y} \Bigg|_{(x,y)=(0,0)} = \frac{\partial B_y^q}{\partial x} \Bigg|_{(x,y)=(0,0)}$$

## Transverse dynamics in quadrupole field (no space-charge effect)

$$s = z_0 + \beta_b ct$$

$$\begin{aligned}x''(s) + \kappa_q(s)x(s) &= 0 \\y''(s) - \kappa_q(s)y(s) &= 0\end{aligned}$$

$$\begin{aligned}\kappa_{xq}(s) &= -\kappa_{yq}(s) = \kappa_q(s) \\ \kappa_q(s) &\equiv \frac{e_b B'_q(s)}{\gamma_b m_b \beta_b c^2}\end{aligned}$$

Const.

$$x(s) = A_x w_x(s) \cos[\psi_x(s) + \varphi_{x0}]$$

$$y(s) = A_y w_y(s) \sin[\psi_y(s) + \varphi_{y0}]$$

envelope

phase

## Courant-Snyder theory

$$x'(s) = A_x w'_x \cos(\psi_x + \varphi_{x0}) - A_x w_x \psi'_x \sin(\psi_x + \varphi_{x0})$$

$$\begin{aligned} x''(s) &= A_x [w''_x - w_x (\psi'_x)^2] \cos(\psi_x + \varphi_{x0}) \\ &\quad - A_x [w'_x \psi'_x + (w_x \psi'_x)'] \sin(\psi_x + \varphi_{x0}) \end{aligned}$$

Let:  $w'_x \psi'_x + (w_x \psi'_x)' = 0$   $\longrightarrow$

$$\psi_x(s) = \int_{s_0}^s \frac{ds}{w_x^2(s)}$$

$$x''(s) + \kappa_q(s)x(s) = 0$$

$\longrightarrow$

$$w''_x - w_x (\psi'_x)^2 + \kappa_q(s)w_x = 0$$

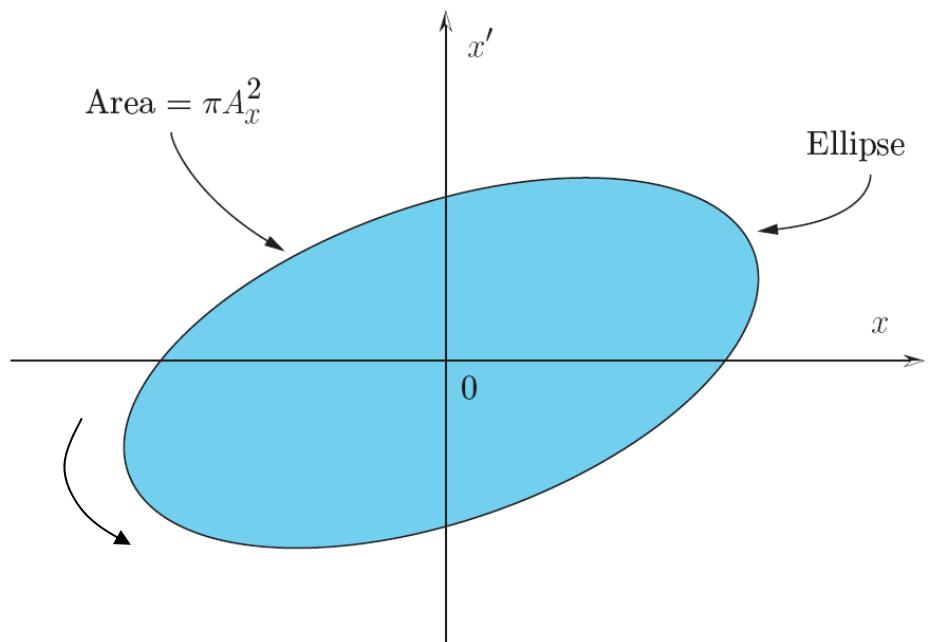
Envelop Eq.

$$w''_x + \kappa_x(s)w_x = w_x^{-3}(s)$$

## Courant-Snyder Invariant

$$A_x^2 = \frac{x^2}{w_x^2} + (w_x x' - w'_x x)^2 = \text{const.}$$

$$\begin{aligned} x(s) &= A_x w_x(s) \cos[\psi_x(s) + \varphi_{x0}] \\ \frac{x}{w_x} &= A \cos[\psi_x(s) + \varphi_{x0}] \\ \left(\frac{x}{w_x}\right)' &= A \sin[\psi_x(s) + \varphi_{x0}] \psi'_x(s) \end{aligned}$$



## What's the Courant-Snyder theory about?

Given a focusing lattice, solve once:

$$w_x''(s) + \kappa_x(s)w_x(s) = w_x^{-3}(s),$$

then every particle's orbit is known.



From: Birkhoff

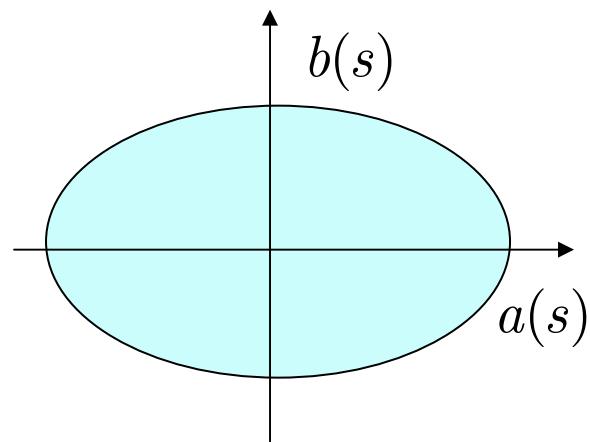
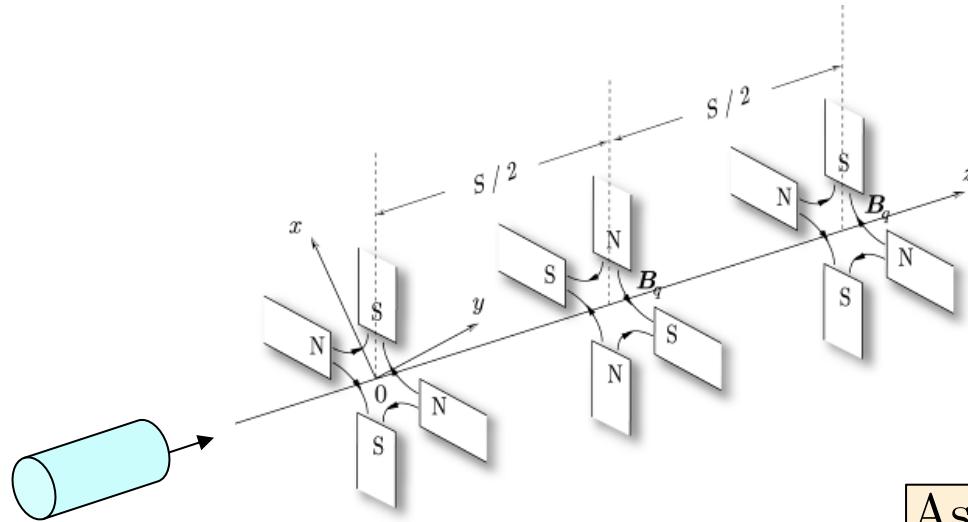
Birkhoff (1908)



Courant

Courant (1958)

## Transverse dynamics in quadrupole field (with space-charge effect)



Assume beam has a uniform density inside

$$\frac{x^2}{a^2(s)} + \frac{y^2}{b^2(s)} = 1.$$

$$N_b = \int dx dy n_b(x, y, s) = \hat{n}_b \pi ab = \text{const.}$$

## Poisson's equation for self-field

$$\left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) \psi(x, y, s) = \begin{cases} -\frac{2K_b}{ab}, & 0 \leq \frac{x^2}{a^2} + \frac{y^2}{b^2} < 1, \\ 0, & \frac{x^2}{a^2} + \frac{y^2}{b^2} > 1. \end{cases}$$

$$a, b \ll S$$

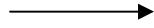


$$\psi = e_b \varphi^s / \gamma_b^3 m_b \beta_b^2 c^2$$
$$\text{Perveance } K_b = \frac{2N_b e_b^2}{\gamma_b^3 m_b \beta_b^2 c^2}$$

$$\psi(x, y, s) = -\frac{K_b}{a+b} \left[ \frac{1}{a} x^2 + \frac{1}{b} y^2 \right], \quad 0 \leq \frac{x^2}{a^2} + \frac{y^2}{b^2} < 1$$

## Transverse dynamics in quadrupole field (no space-charge effect)

$$\begin{aligned}-\frac{\partial \psi}{\partial x} &= \frac{2K_b}{a(a+b)}x \\-\frac{\partial \psi}{\partial y} &= \frac{2K_b}{b(a+b)}y\end{aligned}$$



$$\begin{aligned}x''(s) + \kappa_x(s)x(s) &= 0 \\y''(s) + \kappa_y(s)y(s) &= 0\end{aligned}$$

$$\begin{aligned}\kappa_x(s) &= \kappa_q(s) - \frac{2K_b}{a(s)[a(s) + b(s)]} \\ \kappa_y(s) &= -\kappa_q(s) - \frac{2K_b}{b(s)[a(s) + b(s)]}\end{aligned}$$

Const.

$$x(s) = A_x w_x(s) \cos[\psi_x(s) + \varphi_{x_0}]$$

phase

$$w''_x(s) + \kappa_x(s)w_x(s) = w_x^{-3}(s)$$

$$a(s) = A_{x \max} w_x(s)$$

$$\text{emittance: } \varepsilon_x = A_{x \max}^2$$

Envelope Eq.



$$\frac{d^2}{ds^2} a(s) + \kappa_q(s)a(s) - \frac{2K_b}{a(s) + b(s)} = \frac{\varepsilon_x^2}{a^3(s)}$$

$$\frac{d^2}{ds^2} a(s) + \kappa_q(s)a(s) - \frac{2K_b}{a(s) + b(s)} = \frac{\varepsilon_x^2}{a^3(s)}$$

$$\frac{d^2}{ds^2} b(s) - \kappa_q(s)b(s) - \frac{2K_b}{a(s) + b(s)} = \frac{\varepsilon_y^2}{b^3(s)}$$

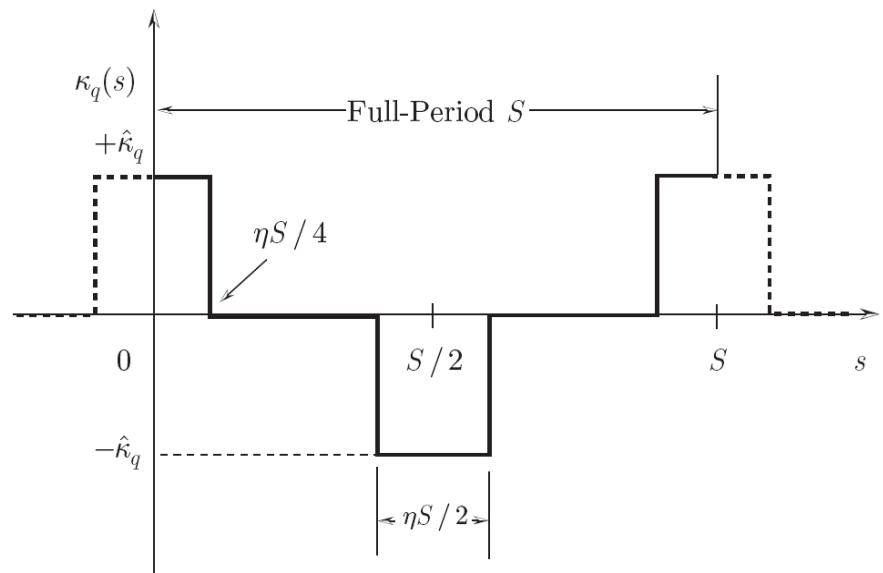
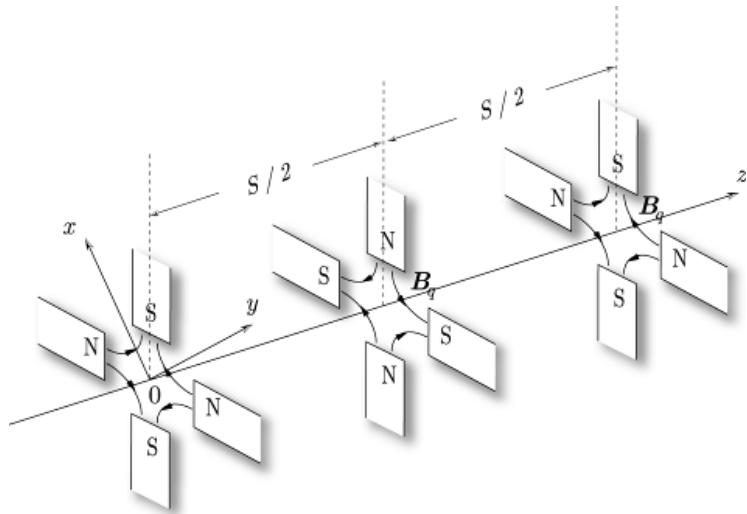
Phase advance

$$\sigma_x = \int_{s_0}^{s_0+S} \frac{ds}{w_x^2(s)} = \varepsilon_x \int_{s_0}^{s_0+S} \frac{ds}{a^2(s)}$$

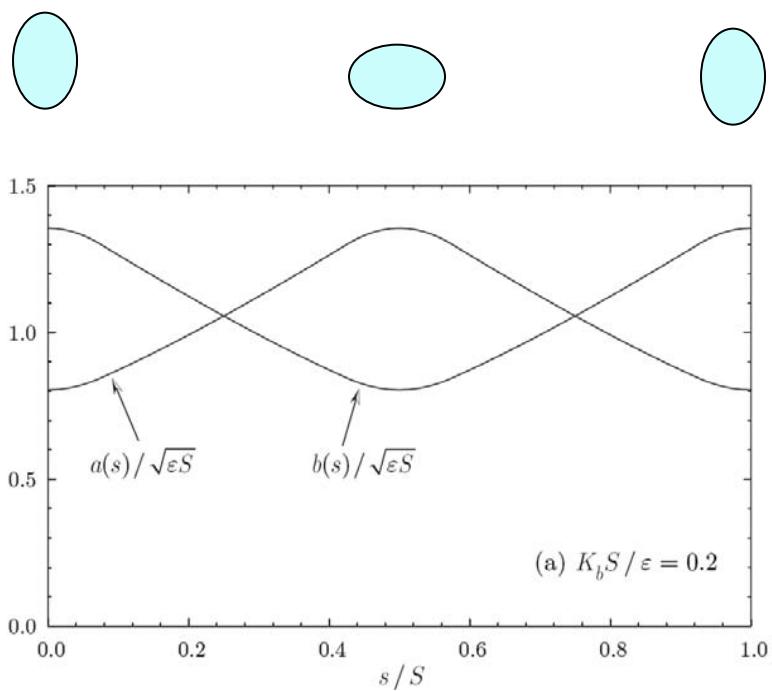
$$\sigma_y = \int_{s_0}^{s_0+S} \frac{ds}{w_y^2(s)} = \varepsilon_y \int_{s_0}^{s_0+S} \frac{ds}{b^2(s)}.$$

$$\psi_x(s) = \int_{s_0}^s \frac{ds}{w_x^2(s)}$$

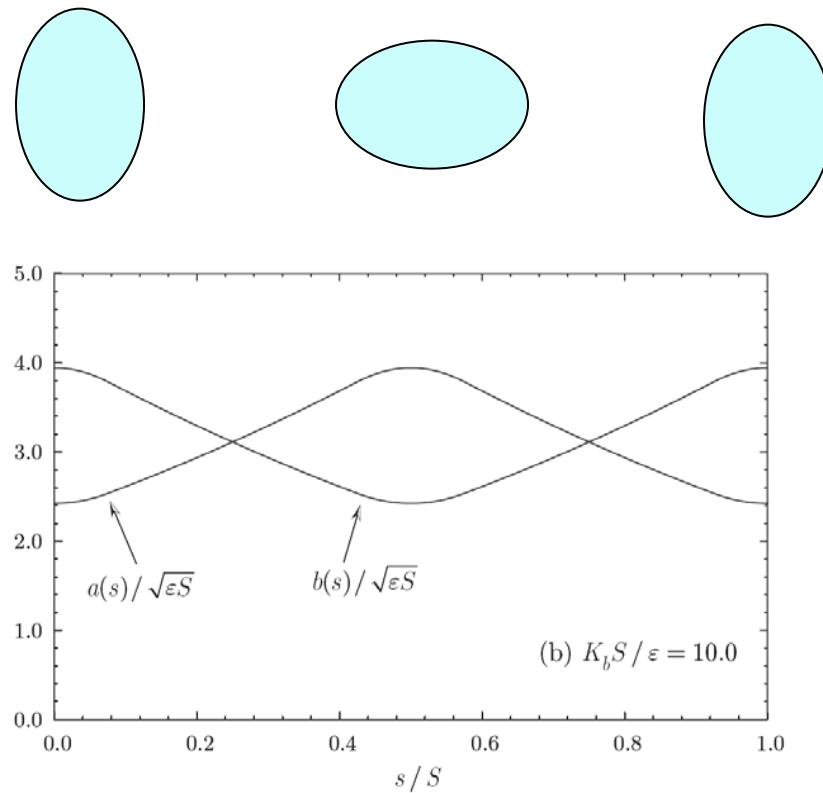
## FODO Lattice



## Numerical solutions of envelope eqs.



(a)  $K_b S / \varepsilon = 0.2$



(b)  $K_b S / \varepsilon = 10.0$

## Smooth focusing model for periodic focusing lattice

$$a(s) = \bar{a}(s) + \delta a(s) \quad \delta a(s+S) = \delta a(s) \quad \langle \dots \rangle = \frac{1}{S} \int_{s_0}^{s_0+S} ds \dots .$$

$$b(s) = \bar{b}(s) + \delta b(s) \quad \langle \delta a \rangle = 0$$

slow                                  fast

$$|\delta a| \ll \bar{a} \quad \longleftrightarrow \quad \delta = |\hat{\kappa}_q| S^2 \ll 1$$

$$\langle \kappa_q(s) \rangle = 0$$

$$\begin{aligned} & \frac{d^2}{ds^2} \bar{a} + \frac{d^2}{ds^2} \delta a + \kappa_q(s) \bar{a} + \kappa_q(s) \delta a \\ & - \frac{2K_b}{\bar{a} + \bar{b}} \left(1 - \frac{\delta a + \delta b}{\bar{a} + \bar{b}}\right) = \frac{\varepsilon^2}{\bar{a}^3} \left(1 - \frac{3\delta a}{\bar{a}}\right) \end{aligned}$$

$$\langle \dots \rangle \quad \downarrow$$

slow

$$\frac{d^2}{ds^2} \bar{a}(s) + \langle \kappa_q(s) \delta a(s) \rangle - \frac{2K_b}{\bar{a}(s) + \bar{b}(s)} = \frac{\varepsilon^2}{\bar{a}^3(s)}$$

fast

$$\begin{aligned} & \frac{d^2}{ds^2} \delta a(s) + \kappa_q(s) \bar{a} + \kappa_q(s) \delta a(s) - \langle \kappa_q(s) \delta a(s) \rangle \\ & + \frac{2K_b}{(\bar{a} + \bar{b})^2} [\delta a(s) + \delta b(s)] = -\frac{3\varepsilon^2}{\bar{a}^4} \delta a(s) \end{aligned}$$

For  $b, \bar{a} \rightarrow \bar{b}, \delta a \rightarrow \delta b, \kappa_q(s) \rightarrow -\kappa_q(s)$

$$\varepsilon_x = \varepsilon_y = \varepsilon$$



$$\begin{aligned} \bar{a}(s) &= \bar{b}(s) \equiv r_b(s) \\ \delta a(s) &= -\delta b(s) \end{aligned}$$

slow

$$\frac{d^2}{ds^2} \bar{a}(s) + \langle \kappa_q(s) \delta a(s) \rangle - \frac{K_b}{\bar{a}(s)} = \frac{\varepsilon^2}{\bar{a}^3(s)}$$

$$\langle \kappa_q(s) \delta a(s) \rangle \equiv \kappa_{sf} \bar{a}$$

fast

$$\frac{d^2}{ds^2} \delta a(s) + \kappa_q(s) \bar{a} + \kappa_q(s) \delta a(s) - \langle \kappa_q(s) \delta a(s) \rangle = -\frac{3\varepsilon^2}{\bar{a}^4} \delta a(s)$$

$$\frac{d^2}{ds^2} \delta a(s) + \kappa_q(s) \bar{a} = 0$$

$$\langle \kappa_q(s) \delta a(s) \rangle = \left\{ \left\langle \left[ \int_{s_0}^s ds \kappa_q(s) \right]^2 \right\rangle - \left\langle \int_{s_0}^s ds \kappa_q(s) \right\rangle^2 \right\} \bar{a}$$

Homework 3.14

$$\kappa_{sf} = \left\langle \left[ \int_{s_0}^s ds \kappa_q(s) \right]^2 \right\rangle - \left\langle \int_{s_0}^s ds \kappa_q(s) \right\rangle^2$$

Smooth focusing approximation

$$\frac{d^2}{ds^2} \bar{a}(s) + [\kappa_{sf} - \frac{K_b}{\bar{a}^2(s)}] \bar{a}(s) = \frac{\varepsilon^2}{\bar{a}^3(s)}$$

Equilibrium beam size

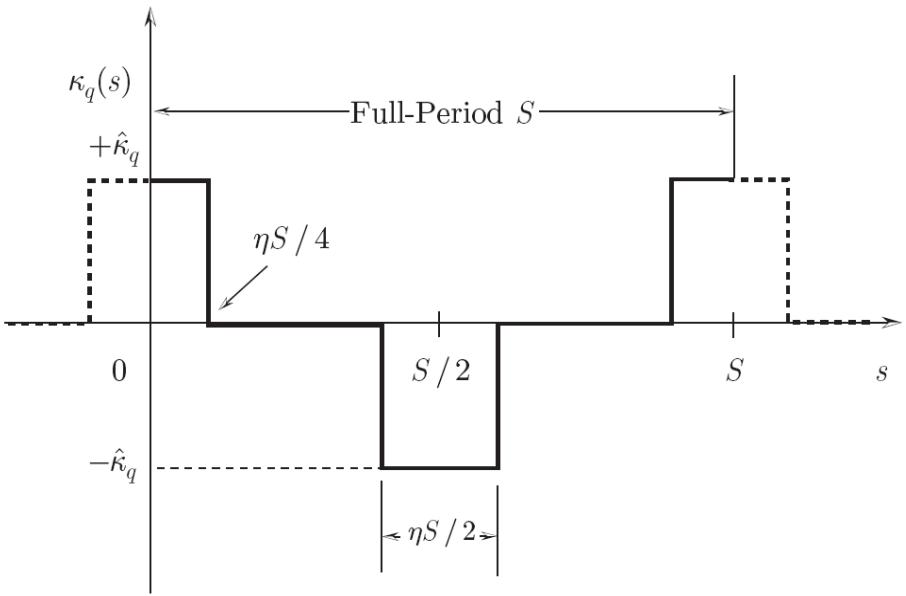
$$\bar{a}_0^2 = \frac{K_b}{2\kappa_{sf}} + \left[ \left( \frac{K_b}{2\kappa_{sf}} \right)^2 + \frac{\varepsilon^2}{\kappa_{sf}} \right]^{1/2}$$

Phase advance

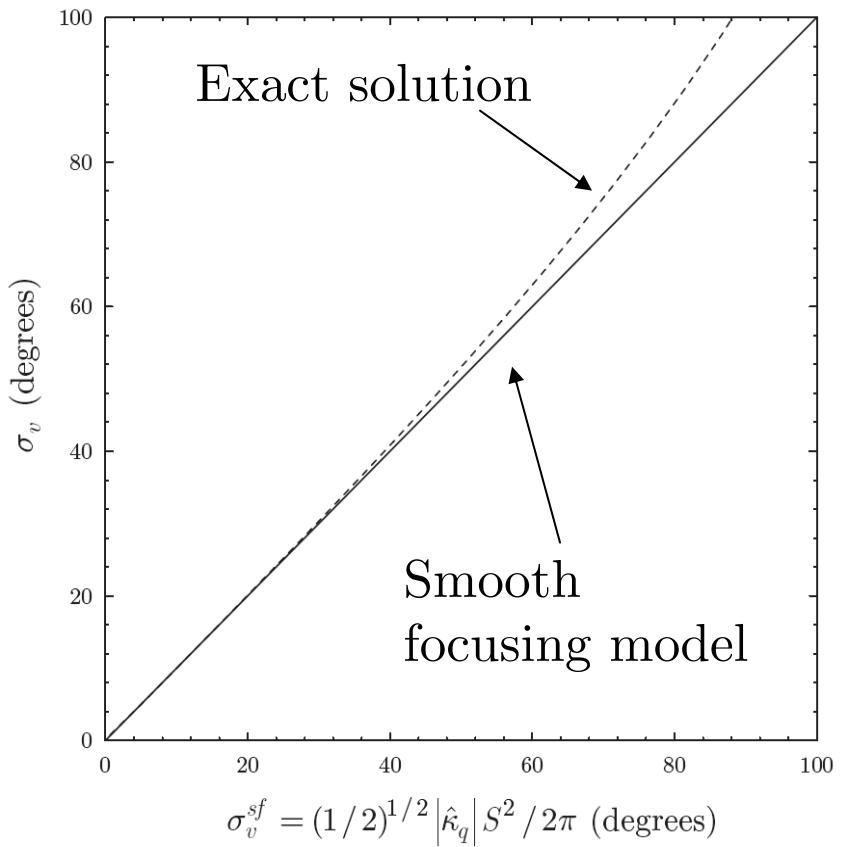
$$\sigma^{sf} \equiv \varepsilon S / \bar{a}_0^2$$

Vacuum phase advance

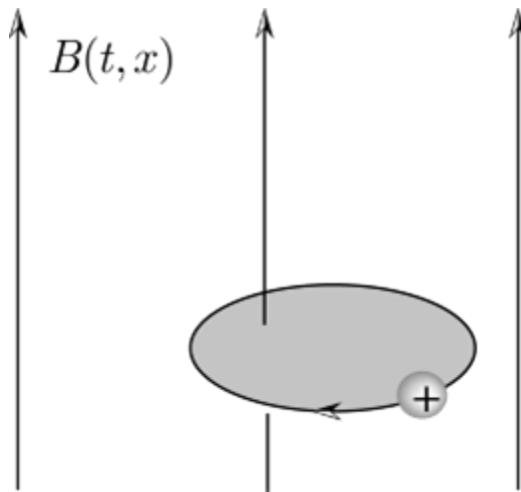
$$\sigma_v^{sf} = \sqrt{\kappa_{sf}} S$$



$$\kappa_{sf} = \frac{1}{16} \eta^2 \hat{\kappa}_q^2 S^2 \left(1 - \frac{2}{3} \eta\right)$$



## Gyromotion in time-dependent field



There is an exact invariant of magnetic moment similar to the Courant-Snyder invariant.

*An Exact Magnetic Moment Invariant of Charged Particle Gyromotion,*  
H. Qin and R. C. Davidson, Physical Review Letters **96**, 085003 (2006).

## Gyromotion in time-dependent field

**Theorem 1.** For an arbitrary function  $\kappa(t)$  and  $w_1, w_2$  satisfying

$$\ddot{w}_1 + \kappa w_1 = \frac{\varepsilon_1}{w_1^3},$$

$$\ddot{w}_2 + \kappa w_2 = \frac{\varepsilon_2}{w_2^3},$$

where  $\varepsilon_1$  and  $\varepsilon_2$  are real constants, the quantity

$$I = \varepsilon_1 \left( \frac{w_2}{w_1} \right)^2 + \varepsilon_2 \left( \frac{w_1}{w_2} \right)^2 + (w_2 \dot{w}_1 - \dot{w}_2 w_1)^2$$

is an invariant.