

# Geometric Gyrokinetic Theory for Edge Plasmas

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<http://www.pppl.gov/~hongqin/Gyrokinetics.php>

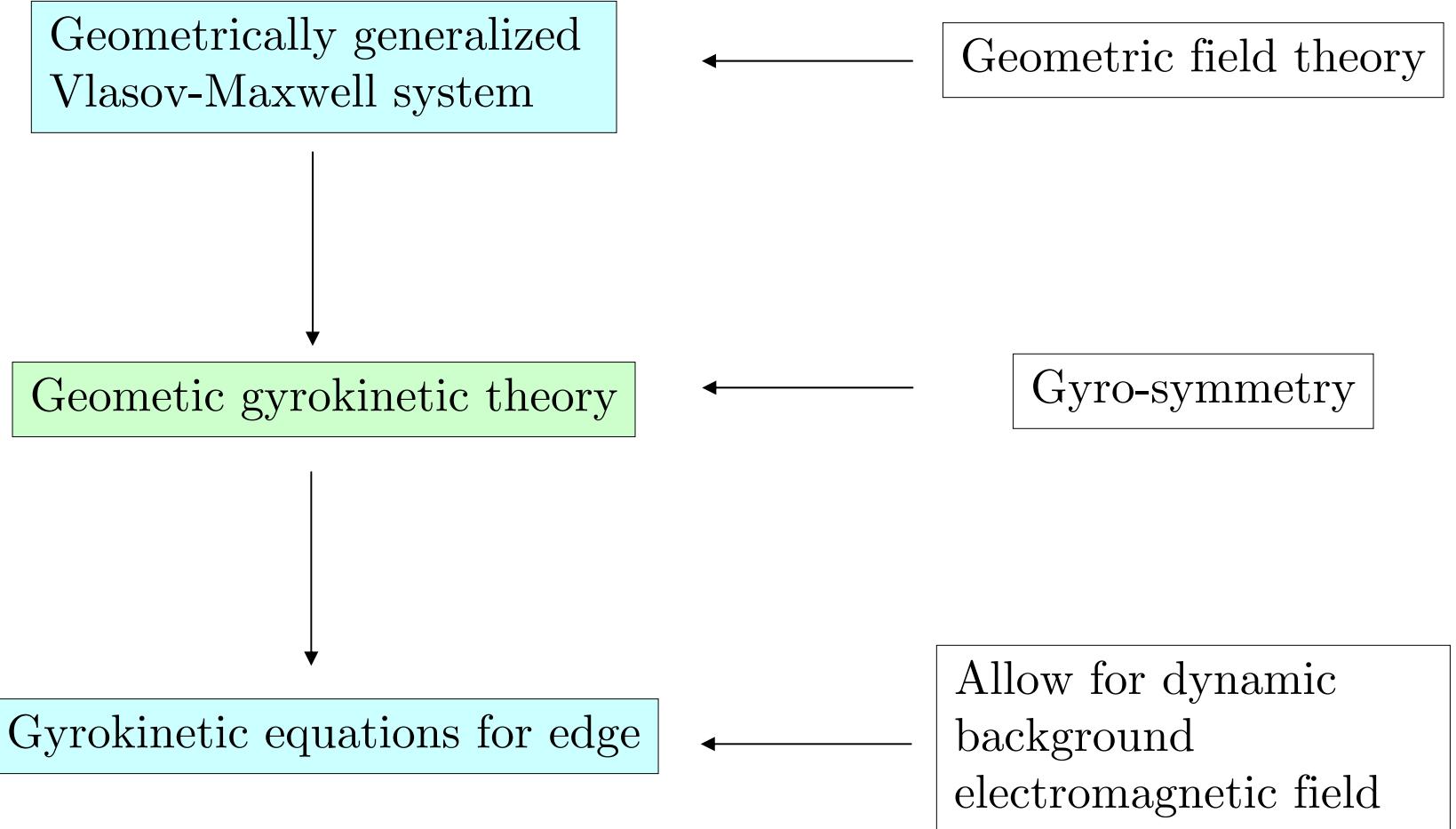


## Acknowledgement

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# Motivations



# Classical gyrokinetics: average out gyrophase

- Magnetized plasmas → fast gyromotion.

Highly oscillatory

- “Average-out” the fast gyromotion
  - Theoretically appealing
  - Algorithmically efficient

$$\left[ \frac{\partial}{\partial t} + \mathbf{v} \cdot \frac{\partial}{\partial \mathbf{x}} + e_j \left( \mathbf{E} + \frac{\mathbf{v} \times \mathbf{B}}{c} \right) \cdot \frac{\partial}{\partial \mathbf{p}} \right] f_j(\mathbf{x}, \mathbf{p}, t) = 0 ,$$

$$\nabla \times \mathbf{B} = \frac{4\pi}{c} \sum_j e_j \int d^3 p \mathbf{v} f_j(\mathbf{x}, \mathbf{p}, t) + \frac{1}{c} \frac{\partial}{\partial t} \mathbf{E} ,$$

$$\nabla \cdot \mathbf{E} = 4\pi \sum_j e_j \int d^3 p f_j(\mathbf{x}, \mathbf{p}, t) ,$$

$$\nabla \cdot \mathbf{B} = 0 .$$

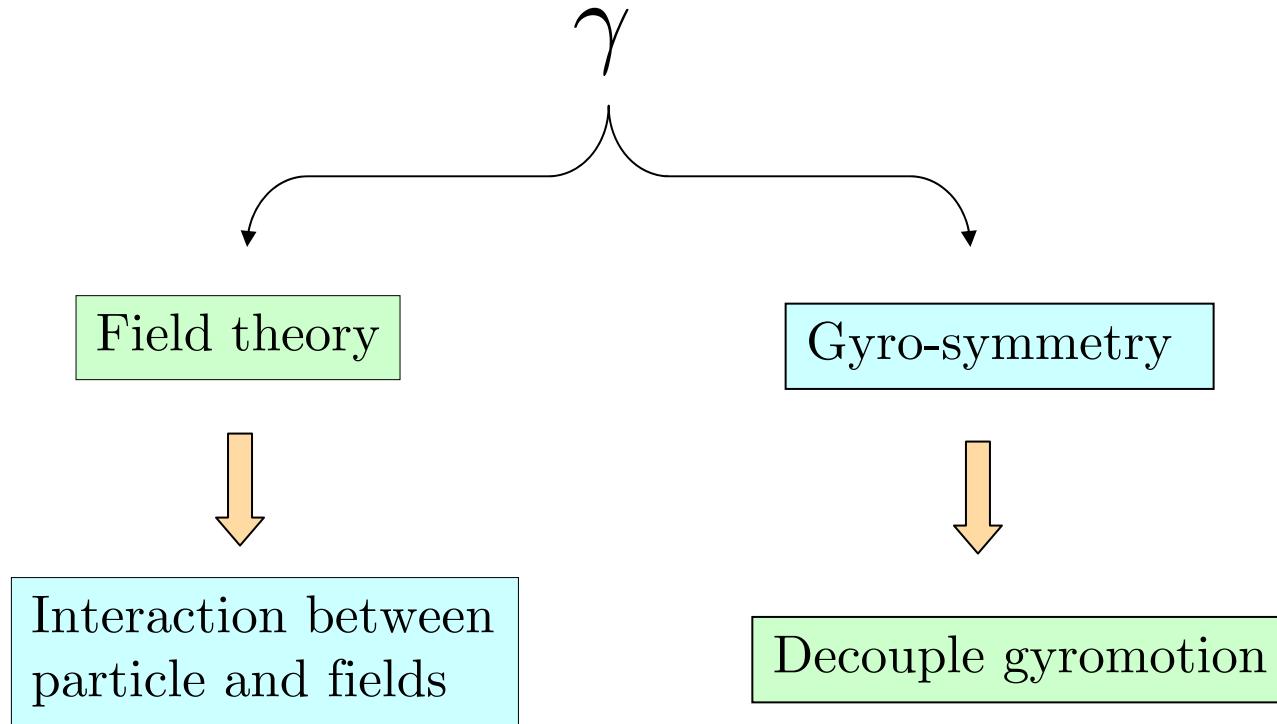


$$\frac{1}{2\pi} \int_0^{2\pi} d\theta [ \text{Valsov - Maxwell eqs.} ]$$

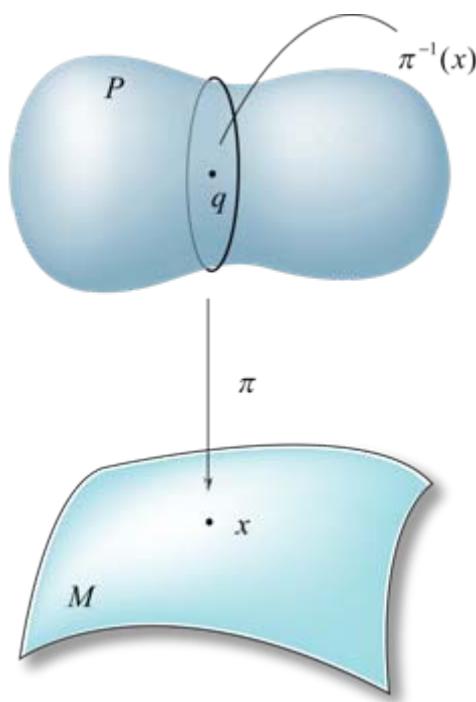
$$\left\langle \mathbf{v} \cdot \frac{\partial f}{\partial \mathbf{x}} \right\rangle, \left\langle \frac{\mathbf{v} \times \mathbf{B}}{c} \cdot \frac{\partial f}{\partial \mathbf{p}} \right\rangle ?$$

$\theta$  dependent

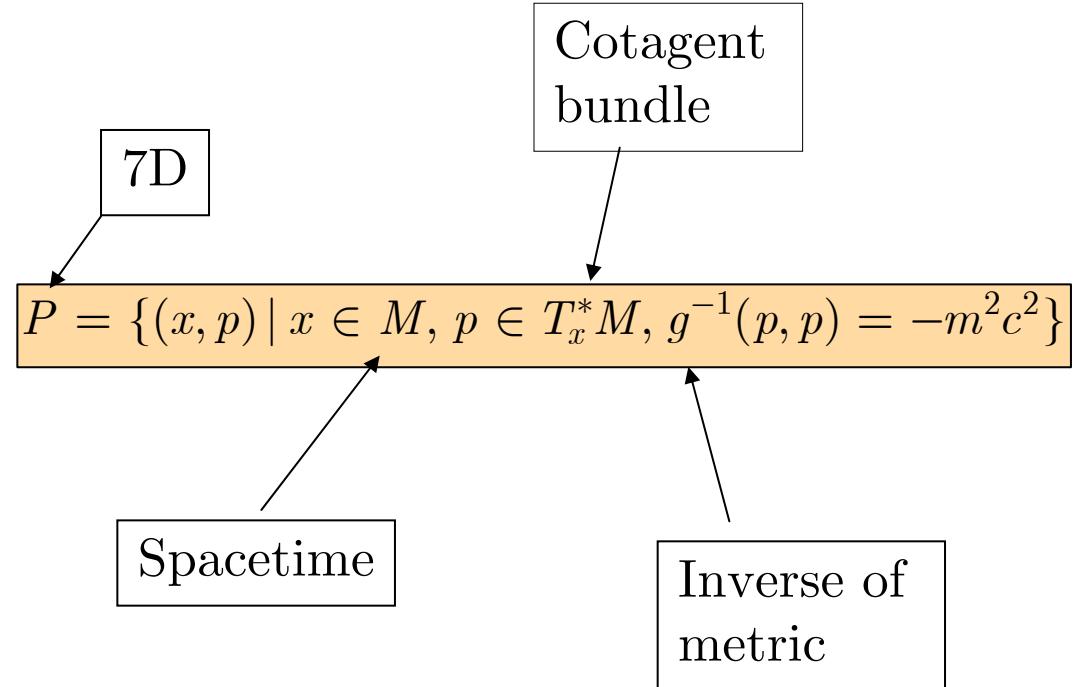
# Modern gyrokinetics = field theory + gyro-symmetry



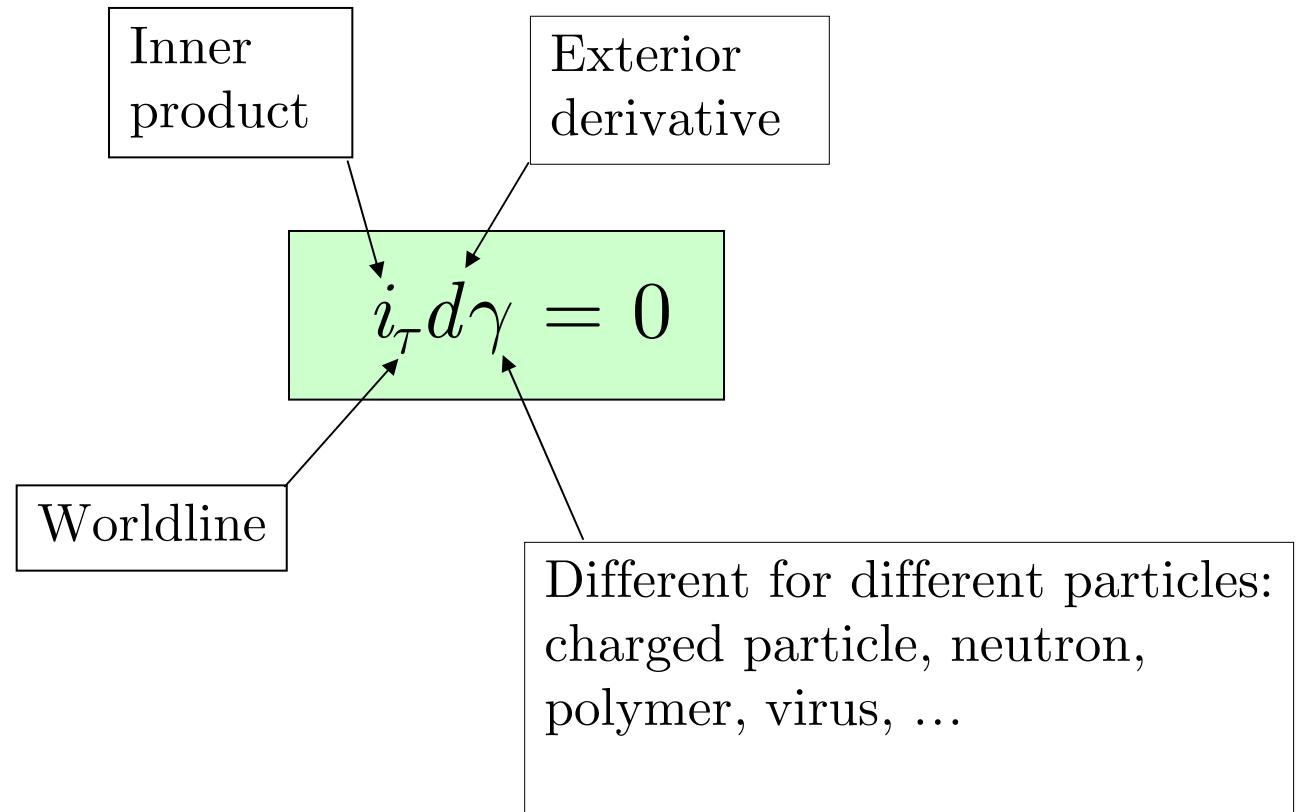
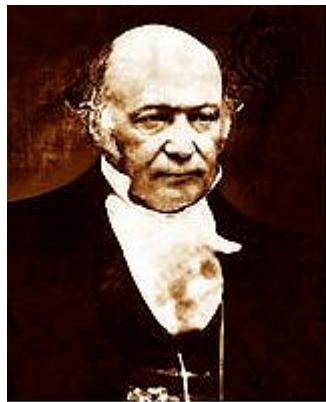
# What is the phase space?



Phase space is a fiber  
bundle  $\pi : P \longrightarrow M$ .



## Hamilton's Eq.



# Geometrically generalized Vlasov-Maxwell system --- A field theory

Liouville 6-form

$$\Omega \equiv -\frac{1}{3!m^3} d\gamma \wedge d\gamma \wedge d\gamma,$$

$$L_\tau \Omega = i_\tau d\Omega + d(i_\tau \Omega) = 0.$$

Liouville Theorem

Vlasov Eq.

$$L_\tau f = i_\tau df = 0.$$

Conservative form

$$L_\tau(f\Omega) = (L_\tau f)\Omega + (L_\tau \Omega)f = 0.$$

# Geometrically generalized Vlasov-Maxwell system --- A field theory

Variational derivative

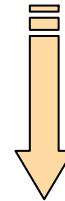
$$S = \int_x L,$$

$$L(x) = -\frac{1}{2}dA \wedge *dA + 4\pi \int_{\pi^{-1}(x)} f\Omega \wedge \gamma$$

Euler derivative

$$\frac{\delta S}{\delta A} = E(L) = 0$$

Fiber integral



$$d * dA = 4\pi * j,$$

$$j^\alpha(x) = \int_{x'} \int_{\pi^{-1}(x')} f\Omega \wedge \frac{\delta \gamma(x')}{\delta A_\alpha(x)}, (\alpha = 0,1,2,3).$$

## Second order field theory → 4-diamagnetic current

$$j^\alpha(x) = \int_{x'} \delta(x - x') \int_{\pi^{-1}(x')} f \Omega \wedge \frac{\partial \gamma(x')}{\partial A_\alpha(x)} \\ - \frac{\partial}{\partial x^\beta} \left[ \int_{x'} \delta(x - x') \int_{\pi^{-1}(x')} f \Omega \wedge \frac{\partial \gamma(x')}{\partial A_{\alpha,\beta}(x)} \right], (\alpha = 0,1,2,3).$$

4-diamagnetic current

$$\frac{\partial A_\alpha}{\partial x^\beta}$$

Valid for any  $\gamma$ . Exact conservation properties.

Allow physics models, approximations build into  $\gamma$ .

## Example: 1-form for charge particles with Lorentz force

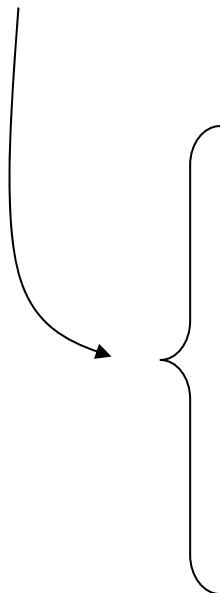
$$\gamma = A + p = (\mathbf{A} + \mathbf{v}) \cdot d\mathbf{x} - \left[ \frac{v^2}{2} + \phi \right] dt ,$$

$A \equiv (-\phi, \mathbf{A})$ , four vector potential, 1-form

$p \equiv (-v^2/2, \mathbf{p})$ , 1-form momentum

$\times dt$

$$L = \mathbf{A} \cdot \mathbf{v} + \frac{1}{2} v^2 - \phi \\ = (\mathbf{A} + \mathbf{v}) \cdot \mathbf{v} - \left( \frac{1}{2} v^2 + \phi \right)$$



Action

$$L(x) = -\frac{1}{2} dA \wedge *dA + 4\pi \int_{\pi^{-1}(x)} f\Omega \wedge \gamma$$

Vlasov

$$df(\tau) = 0, i_\tau d\gamma = 0$$

Maxwell

$$d * dA = 4\pi \int_{\pi^{-1}(x)} f\Omega$$

# What is symmetry?

- Coordinate dependent version:

$$\frac{\partial L}{\partial \theta} = 0, \quad \frac{d}{dt} \left( \frac{\partial L}{\partial \dot{\theta}} \right) = 0.$$

Problem, what is  $\theta$ ?

- Geometric version:



S. Lie (1890s)

Symmetry  
is group

$$L_\eta \gamma = dS$$

Lie derivative

Symmetry vector field

Advantage: general, stronger, enables techniques to find  $\theta$ .

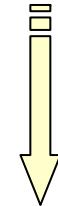
# Symmetry is invariant

Noether's Theorem (1918)



Cartan's formula

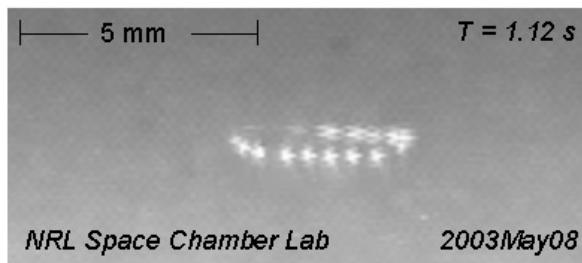
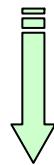
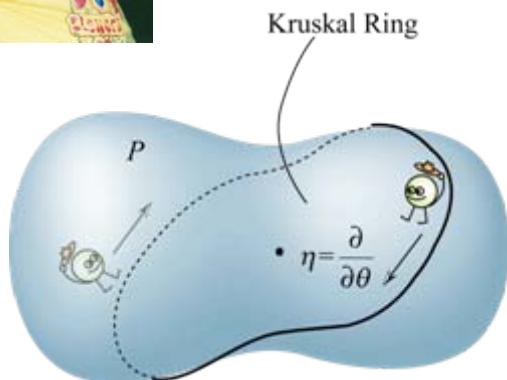
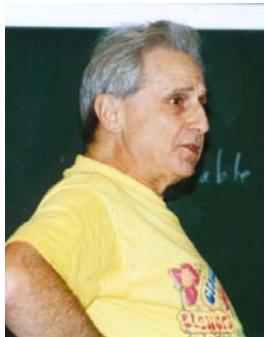
$$L_\eta \gamma = d(i_\eta \gamma) + i_\eta d\gamma = dS$$



$$d(\gamma \cdot \eta) \cdot \tau = dS \cdot \tau$$

$\gamma \cdot \eta - S$  is conversed.

# What is gyrosymmetry?



$$\eta = v_x \left( \frac{1}{B} \frac{\partial}{\partial x} + \frac{\partial}{\partial v_y} \right) + v_y \left( \frac{1}{B} \frac{\partial}{\partial y} - \frac{\partial}{\partial v_x} \right)$$

$\Rightarrow \eta = \frac{\partial}{\partial \theta}$

Noether's Theorem

$$\mu = \frac{v_x^2 + v_y^2}{2B}$$

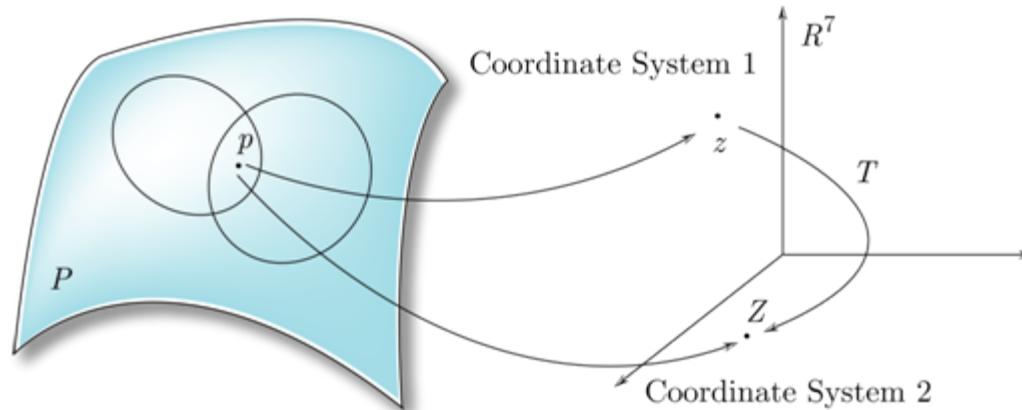
Gyrophase coordinate

Coordinate perturbation to find  $\eta$ .

Q: How does the dynamics transform?

A: It transforms as an 1-form!

# Dynamics under Lie group of coordinate transformation

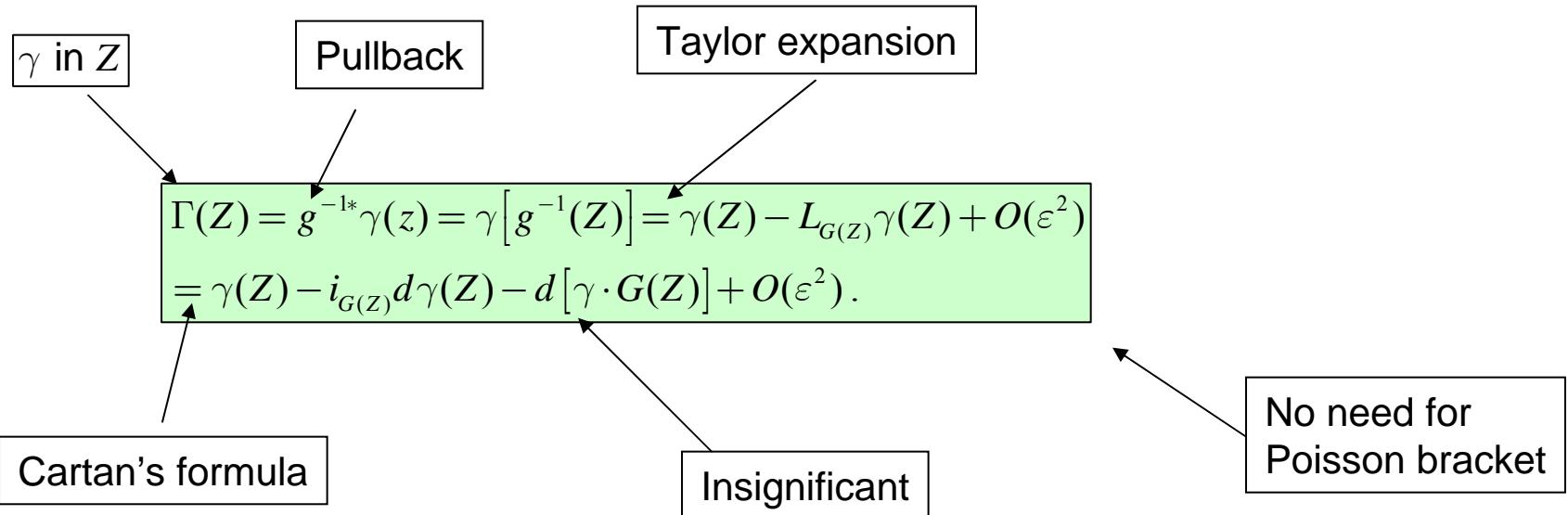


Continuous Lie group,

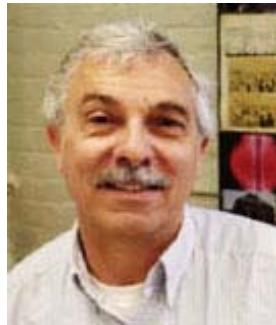
$$g : z \mapsto Z = g(z, \varepsilon)$$

Vector field (Lie algebra),

$$G = dg/d\varepsilon|_{\varepsilon=0}, \\ -G = dg^{-1}/d\varepsilon|_{\varepsilon=0}.$$



# 0<sup>th</sup> order gyrocenter coordinates

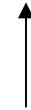


Catto, 1979

$$g_0 : z = (\mathbf{x}, \mathbf{v}, t) \mapsto \bar{Z} = (\bar{\mathbf{X}}, \bar{u}, \bar{w}, \bar{\theta}, t)$$

$$\mathbf{x} \equiv \bar{\mathbf{X}} + \rho(\bar{\mathbf{X}}, \mathbf{v}), \bar{u} \equiv u(\bar{\mathbf{X}}, \mathbf{v}), \bar{w} \equiv w(\bar{\mathbf{X}}, \mathbf{v}),$$

$$\sin \bar{\theta} \equiv -\mathbf{c}(\bar{\mathbf{X}}) \cdot \mathbf{e}_1(\bar{\mathbf{X}}), \mathbf{v} = \mathbf{D}(\bar{\mathbf{X}}) + \bar{u}\mathbf{b}(\bar{\mathbf{X}}) + \bar{w}\mathbf{c}(\bar{\mathbf{X}}).$$



$$u(y, \mathbf{v}_x)\mathbf{b}(y) \equiv [\mathbf{v}_x - \mathbf{D}(y)] \cdot \mathbf{b}(y) \mathbf{b}(y),$$

$$w(y, \mathbf{v}_x)\mathbf{c}(y, \mathbf{v}_x) \equiv [\mathbf{v}_x - \mathbf{D}(y)] \times \mathbf{b}(y) \times \mathbf{b}(y),$$

$$\mathbf{c}(y, \mathbf{v}_x) \cdot \mathbf{c}(y, \mathbf{v}_x) = 1,$$

$$\mathbf{a}(y, \mathbf{v}_x) \equiv \mathbf{b}(y) \times \mathbf{c}(y, \mathbf{v}_x),$$

$$\rho(y, \mathbf{v}_x) \equiv \frac{\mathbf{b}(y) \times [\mathbf{v}_x(y) - \mathbf{D}(y)]}{B_0(y)}.$$

$$\mathbf{D}(y) \equiv \frac{\mathbf{E}_0(y) \times \mathbf{B}_0(y)}{[B_0(y)]^2}, \quad \mathbf{b}(y) \equiv \frac{\mathbf{B}_0(y)}{B_0(y)},$$

$$\mathbf{v}_x(y) \equiv \mathbf{D}(y) + u(y, \mathbf{v}_x)\mathbf{b}(y) + w(y, \mathbf{v}_x)\mathbf{c}(y, \mathbf{v}_x).$$

# Lie perturbations



Cary, Littlejohn 1980s

$$\begin{aligned} \mathbf{E} &= \mathbf{E}_0 + \mathbf{E}_1, \mathbf{B} = \mathbf{B}_0 + \mathbf{B}_1, \\ \mathbf{E}_0 &\sim \frac{\mathbf{v} \times \mathbf{B}_0}{c}, \quad \mathbf{E}_1 \sim \varepsilon_1 \frac{\mathbf{v} \times \mathbf{B}_0}{c}, \quad \mathbf{B}_1 \sim \varepsilon_1 \mathbf{B}_0, \\ \left( \left| \rho \right| \frac{\nabla E_0}{E_0}, \frac{1}{\Omega E_0} \frac{\partial E_0}{\partial t} \right) &\sim \left( \left| \rho \right| \frac{\nabla B_0}{B_0}, \frac{1}{\Omega B_0} \frac{\partial B_0}{\partial t} \right) \sim \varepsilon_0, \\ \left( \left| \rho \right| \frac{\nabla E_1}{E_1}, \frac{1}{\Omega E_1} \frac{\partial E_1}{\partial t} \right) &\sim \left( \left| \rho \right| \frac{\nabla B_1}{B_1}, \frac{1}{\Omega B_1} \frac{\partial B_1}{\partial t} \right) \sim 1, \end{aligned}$$

Noncanonical coordinates:  $\bar{Z} = (\bar{\mathbf{X}}, \bar{u}, \bar{w}, \bar{\theta})$

$$\gamma = \bar{\gamma}_0 + \bar{\gamma}_1 + \bar{\gamma}_2 + \dots,$$

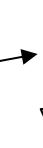
$$\frac{\partial \bar{\gamma}_0}{\partial \bar{\theta}} = 0, \quad \frac{\partial \bar{\gamma}_1}{\partial \bar{\theta}} \neq 0,$$



$$\begin{aligned} \gamma(Z) &= \gamma_0(Z) + \gamma_1(Z) + O(\varepsilon^2), \\ \gamma_0(Z) &= \bar{\gamma}_0(Z), \\ \gamma_1(Z) &= \bar{\gamma}_1(Z) - i_{G_1(Z)} d\gamma_0(Z) - dS_1, \\ \gamma_2(Z) &= \bar{\gamma}_2(Z) - L_{G_1(Z)} \bar{\gamma}_1(Z) \\ &\quad + \left( \frac{1}{2} L_{G_1(Z)}^2 - L_{G_2(Z)} \right) \gamma_0(Z) + dS_2. \end{aligned}$$

$$g : \bar{Z} \rightarrow Z = g(\bar{Z}) \text{ such that } \partial \gamma / \partial \theta = 0.$$

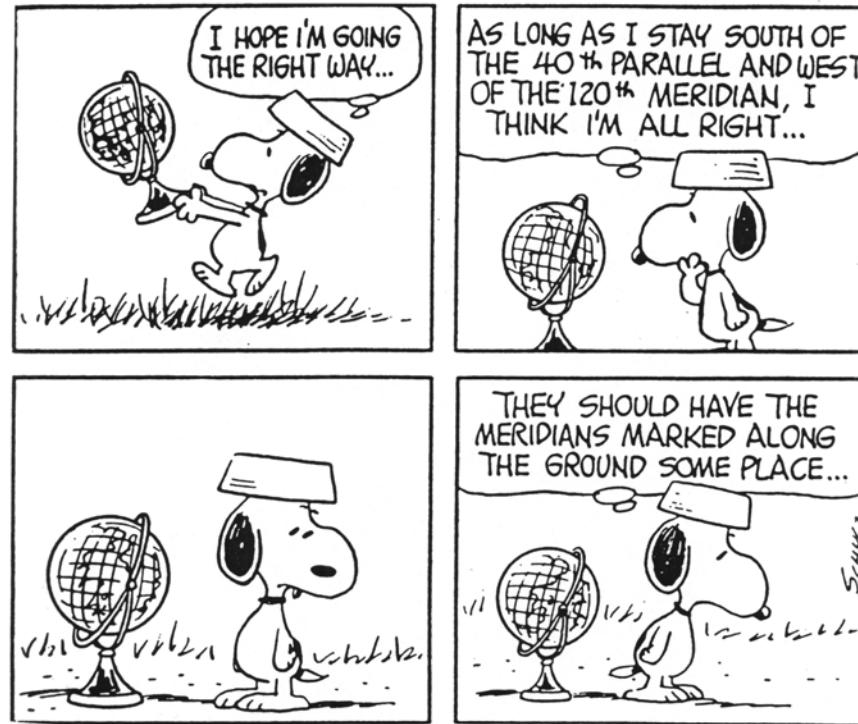
$$G_1, G_2, S_1, S_2$$



Gyrocenter gauges



# Perturbation techniques — quest of good coordinates



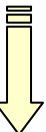
Peanuts by Charles Schulz. Reprint permitted by UFS, Inc.

## $\gamma$ in the 0th order gyrocenter coordinates

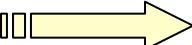
$$\gamma = (\mathbf{A} + \mathbf{v}) \cdot d\mathbf{x} - \left[ \frac{v^2}{2} + \phi \right] dt = \bar{\gamma}_0 + \bar{\gamma}_1 + O(\varepsilon^2),$$

$$\bar{\gamma}_0 = (A_0 + \bar{u}\mathbf{b} + \mathbf{D}) \cdot d\bar{\mathbf{X}} + \frac{\bar{w}^2}{2B_0} d\bar{\theta} - \left[ \frac{\bar{u}^2 + \bar{w}^2 + D^2}{2} + \phi_0 \right] dt,$$

$$\begin{aligned} \bar{\gamma}_1 = & \left[ \frac{\bar{w}}{B_0} \nabla \mathbf{a} \cdot \left( \bar{u}\mathbf{b} + \frac{\bar{w}\mathbf{c}}{2} \right) + \frac{1}{2} \rho \cdot \nabla \mathbf{B}_0 \times \rho - \frac{\bar{w}}{B_0} \nabla \mathbf{D} \cdot \mathbf{a} + \mathbf{A}_1(\bar{\mathbf{X}} + \rho) \right] \cdot d\bar{\mathbf{X}} \\ & + \left[ -\frac{\bar{w}^3}{2B_0^3} \mathbf{a} \cdot \nabla \mathbf{B}_0 \cdot \mathbf{b} + \frac{\bar{w}}{B_0} \mathbf{A}_1(\bar{\mathbf{X}} + \rho) \cdot \mathbf{c} \right] d\bar{\theta} + \left[ \frac{1}{\bar{w}} \mathbf{A}_1(\bar{\mathbf{X}} + \rho) \cdot \mathbf{a} \right] d\bar{\mu} \\ & - \left[ \phi_1(\bar{\mathbf{X}} + \rho) + \rho \cdot \frac{\partial \mathbf{D}}{\partial t} - \frac{1}{2} \rho \cdot \nabla \mathbf{E}_0 \cdot \rho - \left( \bar{u}\mathbf{b} + \frac{\bar{w}\mathbf{c}}{2} \right) \cdot \frac{\bar{w}}{B_0} \frac{\partial \mathbf{a}}{\partial t} \right] dt. \end{aligned}$$



$$Z=g_1(\bar{Z},\varepsilon),\,\frac{dg_1}{d\varepsilon}|_{\varepsilon=0}=G_1(\bar{Z})\,,$$

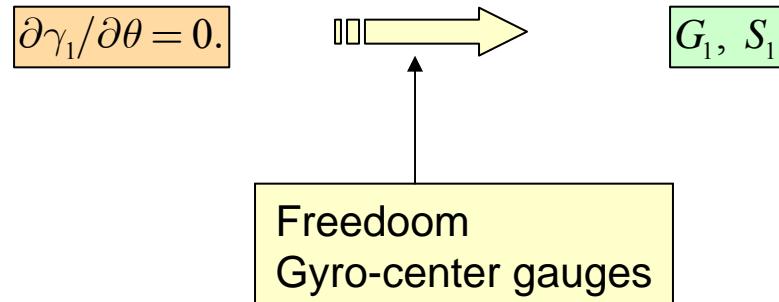


$$\gamma_1(Z)=\bar{\gamma}_1(Z)-i_{G_1(Z)}d\gamma_0(Z)+dS_1(Z)\,,$$

## $\gamma$ under coordinate perturbation

$$\begin{aligned}
\gamma_1(Z) = & \left[ \mathbf{G}_{1X} \times \mathbf{B}^\dagger - G_{1u} \mathbf{b} + \nabla S_1 + \frac{w}{B_0} \nabla \mathbf{a} \cdot \left( u \mathbf{b} + \frac{w \mathbf{c}}{2} \right) + \frac{1}{2} \rho \cdot \nabla \mathbf{B}_0 \times \rho \right. \\
& \left. - \frac{w}{B_0} \nabla \mathbf{D} \cdot \mathbf{a} + \mathbf{A}_1(\mathbf{X} + \rho) \right] \cdot d\mathbf{X} + \left[ \mathbf{G}_{1X} \cdot \mathbf{b} + \frac{\partial S_1}{\partial u} \right] du + \left[ G_{1\theta} + \frac{\partial S_1}{\partial \mu} + \right. \\
& \left. + \frac{1}{w} \mathbf{A}_1(\mathbf{X} + \rho) \cdot \mathbf{a} \right] dw + \left[ -G_{1\mu} + \frac{\partial S_1}{\partial \theta} - \frac{w^3}{2B_0^3} \mathbf{a} \cdot \nabla \mathbf{B}_0 \cdot \mathbf{b} \right. \\
& \left. + \frac{w}{B_0} \mathbf{A}_1(\mathbf{X} + \rho) \cdot \mathbf{c} \right] d\theta + \left[ -\mathbf{E}_0^\dagger \cdot \mathbf{G}_{1X} + u G_{1u} + B_0 G_{1\mu} + \frac{\partial S_1}{\partial t} - \phi_1(\mathbf{X} + \rho) \right. \\
& \left. - \rho \cdot \frac{\partial \mathbf{D}}{\partial t} + \frac{1}{2} \rho \cdot \nabla \mathbf{E}_0 \cdot \rho + \left( u \mathbf{b} + \frac{w \mathbf{c}}{2} \right) \cdot \frac{w}{B_0} \frac{\partial \mathbf{a}}{\partial t} \right] dt.
\end{aligned}$$

+



$\gamma$  in the 1st order gyrocenter coordinate,  $\partial\gamma/\partial\theta=0$ ,

$$\gamma(Z) = \gamma_0(Z) + \gamma_1(Z),$$

$$\gamma_0 = (A_0 + u\mathbf{b} + \mathbf{D}) \cdot d\mathbf{X} + \frac{w^2}{2B_0} d\theta - \left( \frac{u^2 + w^2 + D^2}{2} + \phi_0 \right) dt,$$

$$\gamma_1(Z) = -\frac{w^2}{2B_0} \mathbf{R} \cdot d\mathbf{X} - H_1 dt,$$

Gyrocenter dynamics

$$H_1 = (\mathbf{E}_{0\perp}^\text{gauge} - \mathbf{B}_\perp \times u\mathbf{b}) \cdot \frac{w^2}{4B_0^2 B_\parallel} \nabla \mathbf{B}_0 + \frac{w^2 u}{4B_0} \mathbf{b} \cdot \nabla \times \mathbf{b}$$

$$- \frac{w^2}{4B_0^2} (\nabla \cdot \mathbf{E}_0 - \mathbf{b} \mathbf{b} : \nabla \mathbf{E}_0) - \frac{w^2}{2B_0} R_0 + \langle \psi_1 \rangle$$

$$\mathbf{R} \equiv \nabla \mathbf{c} \cdot \mathbf{a}, \quad R_0 \equiv -\frac{\partial \mathbf{c}}{\partial t} \cdot \mathbf{a},$$

Gyro-gauge invariant  
 $R \longrightarrow R' + \nabla \delta(X),$   
 $\theta \longrightarrow \theta' + \delta(X).$   
 $R = (R_0, \mathbf{R}), \quad X = (t, \mathbf{X}),$   
 $\nabla = (-\partial/\partial t, \nabla).$

$$\psi_1 \equiv \phi_1(\mathbf{X} + \rho) - \left( \frac{\mathbf{E}_0^\dagger \times \mathbf{b} + \mathbf{B}_\perp^\dagger u}{B_\parallel^\dagger} + u\mathbf{b} + w\mathbf{c} \right) \cdot \mathbf{A}_1(\mathbf{X} + \rho),$$

$$\langle \alpha \rangle \equiv \frac{1}{2\pi} \int_0^{2\pi} \alpha d\theta, \quad \tilde{\alpha} \equiv \alpha - \langle \alpha \rangle.$$

$$\mathbf{B}^\dagger \equiv \nabla \times (\mathbf{A}_0 + u\mathbf{b} + \mathbf{D}),$$

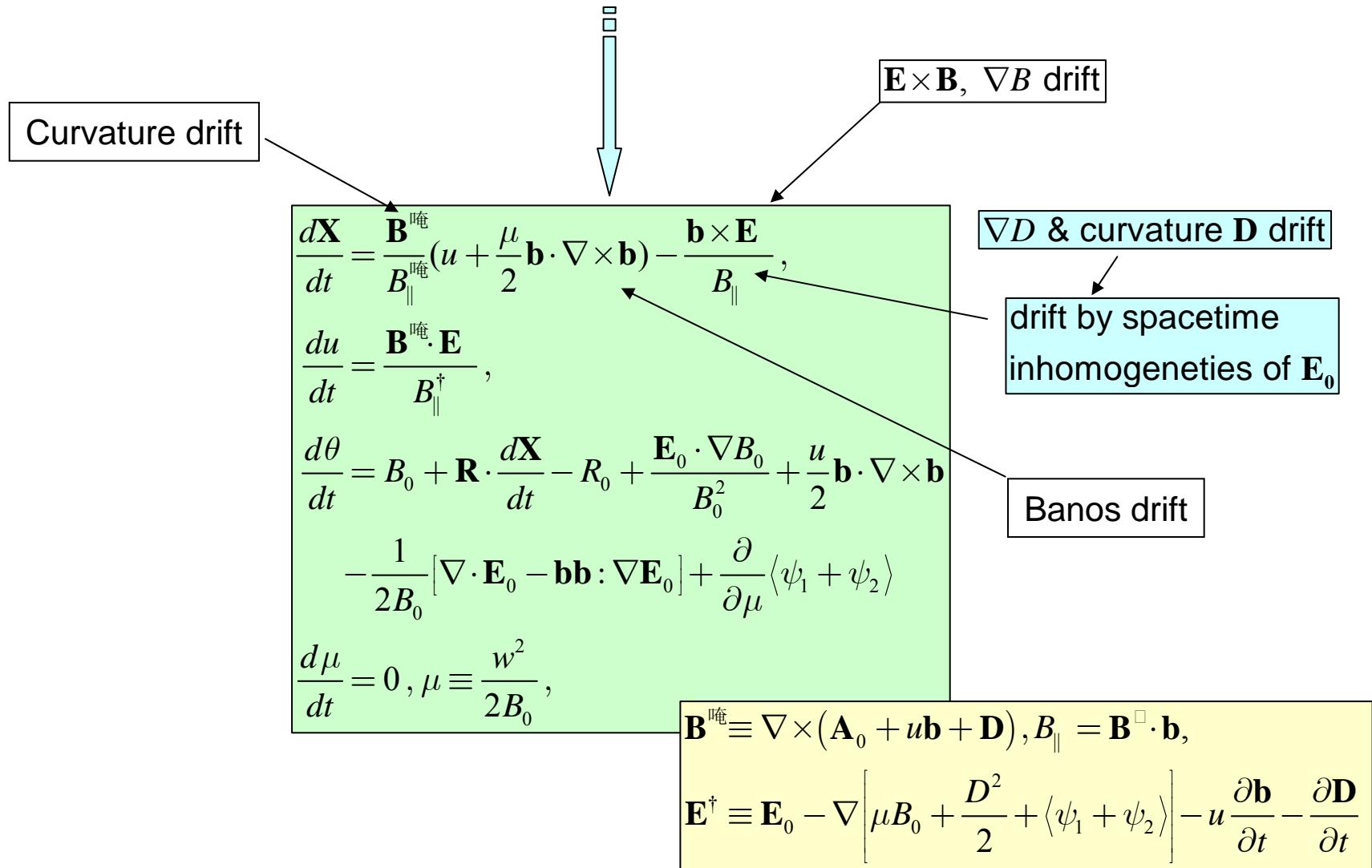
$$\mathbf{E}_0^\dagger \equiv \mathbf{E}_0 - \nabla \left[ \mu B_0 + \frac{D^2}{2} \right] - u \frac{\partial \mathbf{b}}{\partial t} - \frac{\partial \mathbf{D}}{\partial t}.$$

## 2<sup>nd</sup> order

$$\begin{aligned}\gamma_2 &= -\langle \psi_2 \rangle dt, \\ \psi_2 &\equiv \frac{1}{2} \mathbf{E}_{0\perp} \cdot \left[ \left( \mathbf{G}_1^{\text{奄}} \times \mathbf{B}_1 \right) \times \mathbf{b} \right] - \frac{1}{2} (u\mathbf{b} + w\mathbf{c}) \cdot \left( \mathbf{G}_1 \times \mathbf{B}_1 \right) + \mathbf{G}_1^{\text{奄}} \cdot \mathbf{E}_1 \\ \mathbf{G}_1^\dagger &\equiv \mathbf{G}_{1\mathbf{x}} + G_{1w} \frac{\partial}{\partial \mu} + G_{1\theta} \frac{\partial}{\partial \theta}, \\ \mathbf{E}_1^\dagger &\equiv -\nabla \phi_1 - \frac{\partial \mathbf{A}_1}{\partial t} - \nabla \langle \psi_1 \rangle.\end{aligned}$$

# Gyrocenter dynamics

$$i_\tau d\gamma = 0.$$



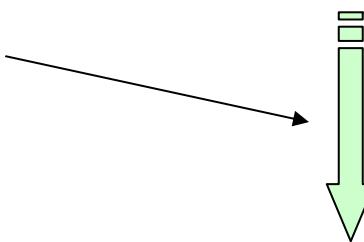
# Gyrokinetic equations

$$L_\tau F = i_\tau dF = 0.$$



$$\begin{aligned} \frac{dZ_j}{dt} \frac{\partial F}{\partial Z_j} &= 0, \quad (0 \leq j \leq 6). \\ F &= \langle F \rangle. \end{aligned}$$

$$\frac{\partial}{\partial \theta} \left( \frac{dZ}{dt} \right) = 0,$$



$$\frac{\partial \langle F \rangle}{\partial t} + \frac{d\mathbf{X}}{dt} \cdot \nabla_{\mathbf{x}} \langle F \rangle + \frac{du}{dt} \frac{\partial \langle F \rangle}{\partial u} = 0,$$

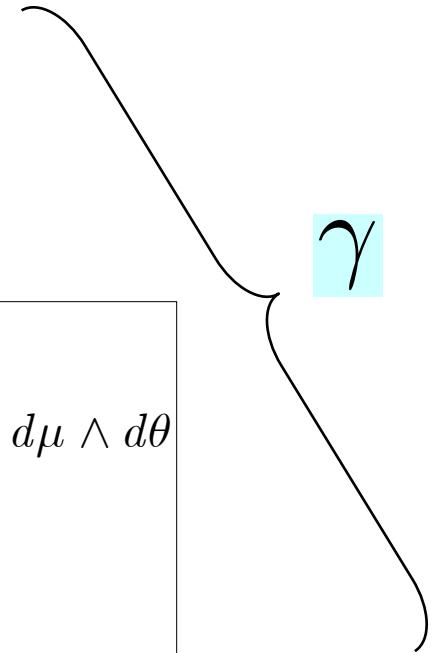
# Gyrokinetic field theory

$$\Omega \equiv -\frac{1}{3!m^3} d\gamma \wedge d\gamma \wedge d\gamma,$$



$$\begin{aligned} \Omega = & B_{\parallel}^{\dagger} dx^1 \wedge dx^2 \wedge dx^3 \wedge du \wedge d\mu \wedge d\theta \\ & + [A_{j,t}^{\parallel} b_i - A_{i,j} u - b_j H_{,i}] dt \wedge dx^j \wedge dx^i \wedge du \wedge d\mu \wedge d\theta \\ & + [A_{i,j}^{\parallel} H_{,l} + A_{i,j} A_{l,t}] dx^j \wedge dx^i \wedge dt \wedge d\mu \wedge d\theta \\ & - A_{i,j}^{\dagger} b_l H_{,\mu} dx^j \wedge dx^i \wedge dt \wedge du \wedge d\mu. \end{aligned}$$

$\gamma$



$$d * dA = 4\pi * j,$$

$$j^{\alpha}(x) = \int_{x'} \int_{\pi^{-1}(x')} F \Omega \wedge \frac{\delta \gamma(x')}{\delta A_{\alpha}(x)}, (\alpha = 0, 1, 2, 3).$$

# Gyrokinetic system without FLR effect

$$\begin{aligned}\gamma &= \mathbf{A}^\dagger \cdot d\mathbf{X} + \mu d\theta - Hdt, \\ H &= \frac{u^2 + w^2 + D^2}{2} + \phi_0.\end{aligned}$$

Model

Gyrocenter  
current

All drifts  
except Banos

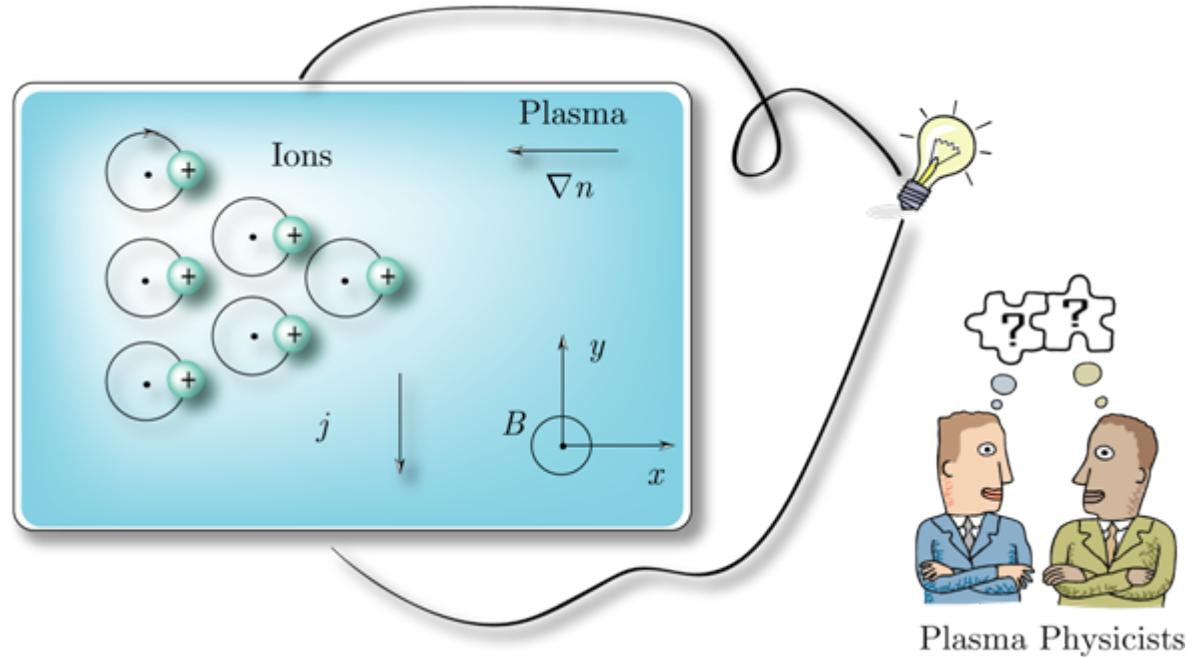
Dia-magnetic  
current

$$\mathbf{j} = \mathbf{j}_g + \mathbf{j}_M,$$

$$\begin{aligned}\mathbf{j}_g &= \int_{x'} \delta(x - x') \int_{\pi^{-1}(x')} F\Omega \wedge \frac{\partial \gamma(x')}{\partial A_i(x)} \\ &= \int F \left( \frac{\mathbf{E} \times \mathbf{b} + \mathbf{B} u}{B_\parallel^\dagger} \right) B_\parallel^\dagger du \wedge d\mu \wedge d\theta.\end{aligned}$$

$$\begin{aligned}\mathbf{j}_M &= -\frac{\partial}{\partial x^j} \left[ \int_{x'} \delta(x - x') \int_{\pi^{-1}(x')} F\Omega \wedge \frac{\partial \gamma(x')}{\partial A_{i,j}(x)} \right] \\ &= -\nabla \times \left[ \mathbf{b} \int \mu F B_\parallel^\dagger du \wedge d\mu \wedge d\theta \right].\end{aligned}$$

# Physics of 2<sup>nd</sup> order field theory — Spitzer paradox



$$\boxed{\mathbf{j}_y = \mathbf{j}_g + \mathbf{j}_M = \left( \mathbf{b} \times \frac{\nabla p}{B} \right)_y}$$

0

# Gyrokinetic system with a time-independent background

$$\gamma = \mathbf{A}^\dagger \cdot d\mathbf{X} + \mu d\theta - Hdt,$$

$$H = \frac{u^2 + w^2 + D^2}{2} + \phi_0 + \langle \psi_1 \rangle.$$

- Exact energy conservation
- Inhomogeneous EM background

$$\langle \psi_1 \rangle = \langle \phi_1(\mathbf{X} + \rho) \rangle$$

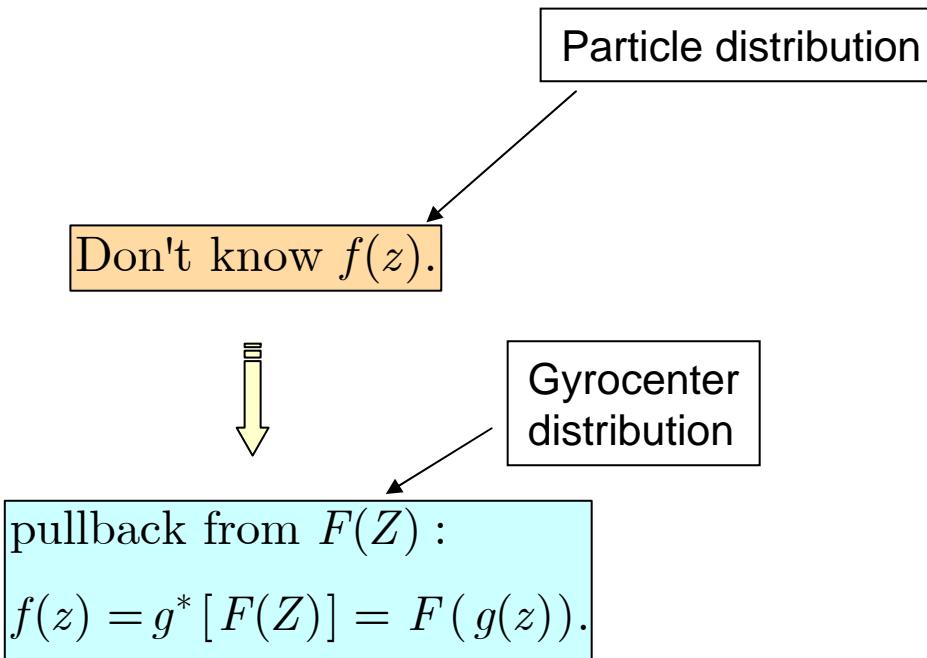
$$s_1 = \frac{1}{B_0} \int \tilde{\phi}_1(\mathbf{X} + \rho) d\theta \equiv \frac{1}{B_0} \tilde{\phi}_1^{(1)},$$

$$\langle \psi_2 \rangle = -\frac{1}{2} \left\langle \nabla \tilde{\phi}_1 \cdot \nabla \tilde{\phi}_1^{(1)} \right\rangle \times \frac{\mathbf{b}}{B_\parallel^\dagger \bar{B}_0} - \frac{1}{2B_0} \left\langle \frac{\partial \tilde{\phi}_1^2}{\partial \mu} \right\rangle,$$

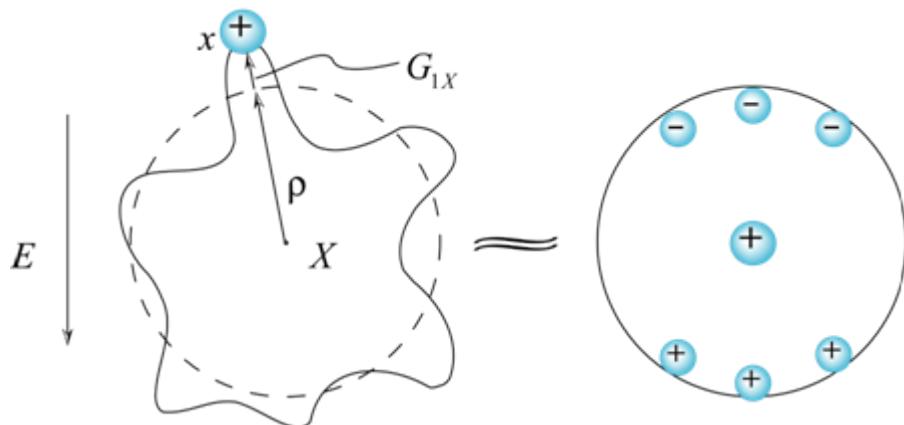
$$\begin{aligned} n(x) &= \int_{x'} \delta(x - x') \int_{\pi^{-1}(x')} F \Omega \wedge \left[ \frac{\partial \langle \psi_1 \rangle}{\partial \phi_1(x)} + \frac{\partial \langle \psi_2 \rangle}{\partial \phi_1(x)} \right] \\ &= \int \left[ F + \frac{\partial F}{\partial \mu} \frac{\tilde{\phi}_1}{B_0} + \nabla F \times \frac{\nabla \tilde{\phi}_1^{(1)}}{B_\parallel^\dagger \bar{B}_0} \cdot \mathbf{b} + \frac{\partial F}{\partial u} \frac{\mathbf{B}^\dagger \cdot \nabla \tilde{\phi}_1^{(1)}}{B_\parallel \bar{B}_0} \right. \\ &\quad \left. \times \delta[\mathbf{x} - \mathbf{x}' - \rho(\mathbf{x}')] B_\parallel^\dagger d^3x du d\mu d\theta \right]. \end{aligned}$$

Pullback  
transformation

# Pullback of distribution function



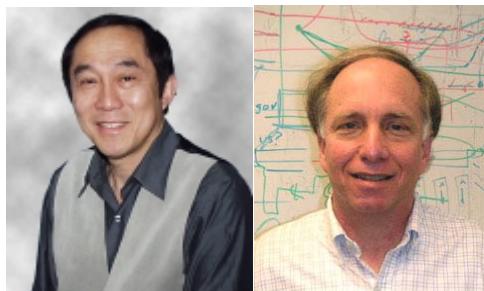
# Physics of 2<sup>nd</sup> order field theory — polarization density



$$x = X + \rho + G_{1X} ,$$

$$p = \frac{e}{2\pi} \int G_{1X} \Big|_{z \rightarrow g_0(z)} d\theta = \frac{-e}{\Omega^2} \nabla_{\perp} \phi .$$

$$G_{1X} = \frac{1}{B} \times \nabla S_1 .$$



Lee (1983) used different method.  
Friedman (1981) in different context.

# Gyrokinetic system with a self-consistent time-dependent background

Two-field theory ---  $\phi_0(x, t)$ ,  $\phi_1(x, t)$

need two field equations

$$\nabla^2(\phi_0 + \phi_1) = -4\pi \sum_s en$$

$$\frac{\delta\gamma}{\delta\phi_0} = 0 \longrightarrow$$

$$n(x) = \int \left\{ \frac{\mathbf{E}_{0\perp}}{B_0} \left( 1 - \frac{B_\parallel^\dagger}{B_0} \right) - \frac{1}{B_0} u \mathbf{b} \times \nabla \times (u \mathbf{b} + \mathbf{D}) \right. \\ \left. - \frac{1}{B_0} \nabla_\perp \left( \mu B_0 + \frac{D^2}{2} + \langle \phi_1 \rangle \right) \right\} F dud\mu d\theta.$$

$$\frac{\delta\gamma}{\delta\phi_1} = 0 \longrightarrow$$

$$n(x) = \int_{x'} \delta(x - x') \int_{\pi^{-1}(x')} F \Omega \wedge \left[ \frac{\partial \langle \psi_1 \rangle}{\partial \phi_1(x)} + \frac{\partial \langle \psi_2 \rangle}{\partial \phi_1(x)} \right] \\ = \int \left[ F + \frac{\partial F}{\partial \mu} \frac{\tilde{\phi}_1}{B_0} + \nabla F \times \frac{\nabla \tilde{\phi}_1^{(1)}}{B_\parallel \bar{B}_0} \cdot \mathbf{b} + \frac{\partial F}{\partial u} \frac{\mathbf{B}^\dagger \cdot \nabla \tilde{\phi}_1^{(1)}}{B_\parallel \bar{B}_0} \right] \\ \times \delta[\mathbf{x} - \mathbf{x}' - \rho(\mathbf{x}') ] B_\parallel^\dagger d^3 x dud\mu d\theta.$$

# Conclusions

